

# Logic in Computer Science II

## 4th lesson

### the graphs of proofs

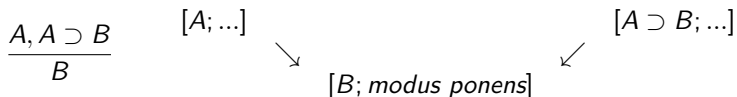
- ▶ directed acyclic graph (DAG)
- ▶ nodes = labeled by
  1. formulas or sequents and
  2. rules applied
- ▶ arrows = indicate which assumptions used
- ▶ sources = axioms
- ▶ sink = the formula/sequent proved

## 4th lesson

### the graphs of proofs

- ▶ directed acyclic graph (DAG)
- ▶ nodes = labeled by
  1. formulas or sequents and
  2. rules applied
- ▶ arrows = indicate which assumptions used
- ▶ sources = axioms
- ▶ sink = the formula/sequent proved

#### Example



# trees and DAGs

Two forms of proofs

1. general, DAG-like
2. tree-like, useful for analyzing proofs

## trees and DAGs

Two forms of proofs

1. general, DAG-like
2. tree-like, useful for analyzing proofs

The transformation from a DAG-like to tree-like may result in exponential blowup

# trees and DAGs

Two forms of proofs

1. general, DAG-like
2. tree-like, useful for analyzing proofs

The transformation from a DAG-like to tree-like may result in exponential blowup

A similar distinction for Boolean circuits:

1. general Boolean **circuits**, DAG-like
2. tree-like, propositional **formulas**

## Krajíček's idea

From a **given proof** in a **weak proof system** we may be able to construct an interpolant, or

## Krajíček's idea

From a given proof in a weak proof system we may be able to construct an interpolant, or

From a given proof  $P$  and an assignment  $\vec{a}$  to common variables we may decide which formula is a tautology.



## Krajíček's idea

From a **given proof** in a **weak proof system** we may be able to construct an interpolant, or

From a **given proof**  $P$  and an **assignment**  $\vec{a}$  to **common variables** we may decide which formula is a tautology. If

$$P \vdash \alpha(\vec{p}, \vec{q}) \vee \beta(\vec{p}, \vec{r})$$

and  $\vec{p} \mapsto \vec{a} \in \{0, 1\}^n$ , then

$$\models \alpha(\vec{a}, \vec{q}) \quad \text{or} \quad \models \beta(\vec{a}, \vec{r})$$

## Krajíček's idea

From a given proof in a weak proof system we may be able to construct an interpolant, or

From a given proof  $P$  and an assignment  $\vec{a}$  to common variables we may decide which formula is a tautology. If

$$P \vdash \alpha(\vec{p}, \vec{q}) \vee \beta(\vec{p}, \vec{r})$$

and  $\vec{p} \mapsto \vec{a} \in \{0, 1\}^n$ , then

$$\models \alpha(\vec{a}, \vec{q}) \quad \text{or} \quad \models \beta(\vec{a}, \vec{r})$$

We want to decide which of the two is true.

## Krajíček's idea

From a **given proof** in a **weak proof system** we may be able to construct an interpolant, or

From a **given proof**  $P$  and an **assignment**  $\vec{a}$  to **common variables** we may decide which formula is a tautology. If

$$P \vdash \alpha(\vec{p}, \vec{q}) \vee \beta(\vec{p}, \vec{r})$$

and  $\vec{p} \mapsto \vec{a} \in \{0, 1\}^n$ , then

$$\models \alpha(\vec{a}, \vec{q}) \quad \text{or} \quad \models \beta(\vec{a}, \vec{r})$$

We want to decide which of the two is true.

In terms of disjoint NP-sets:

Given a proof  $P$  of

$$A \cap B = \emptyset$$

and given  $a \in A \cup B$ , we want to decide which of the two

$$a \in A \quad \text{or} \quad a \in B$$

is true.

# feasible interpolation for cut-free proofs

## Theorem

Given a *tree-like* cut-free proof

$$P \vdash \neg\alpha(\vec{p}, \vec{q}) \rightarrow \beta(\vec{p}, \vec{r})$$

we can construct in *polynomial time* a *formula*  $I(\vec{p})$  s.t.

$$\vdash \neg\alpha(\vec{p}, \vec{q}) \rightarrow I(\vec{p}) \rightarrow \beta(\vec{p}, \vec{r}),$$

# feasible interpolation for cut-free proofs

## Theorem

Given a *tree-like* cut-free proof

$$P \vdash \neg\alpha(\vec{p}, \vec{q}) \rightarrow \beta(\vec{p}, \vec{r})$$

we can construct in *polynomial time* a *formula*  $I(\vec{p})$  s.t.

$$\vdash \neg\alpha(\vec{p}, \vec{q}) \rightarrow I(\vec{p}) \rightarrow \beta(\vec{p}, \vec{r}),$$

or equivalently

$$\vdash I(\vec{p}) \rightarrow \alpha(\vec{p}, \vec{q}),$$

$$\vdash \neg I(\vec{p}) \rightarrow \beta(\vec{p}, \vec{r})$$

# feasible interpolation for cut-free proofs

## Theorem

Given a *tree-like* cut-free proof

$$P \vdash \neg\alpha(\vec{p}, \vec{q}) \rightarrow \beta(\vec{p}, \vec{r})$$

we can construct in *polynomial time* a *formula*  $I(\vec{p})$  s.t.

$$\vdash \neg\alpha(\vec{p}, \vec{q}) \rightarrow I(\vec{p}) \rightarrow \beta(\vec{p}, \vec{r}),$$

or equivalently

$$\vdash I(\vec{p}) \rightarrow \alpha(\vec{p}, \vec{q}),$$

$$\vdash \neg I(\vec{p}) \rightarrow \beta(\vec{p}, \vec{r})$$

Hence given  $\vec{p} \mapsto \vec{a}$ , we can decide in *polynomial time* which of the two is true

$$\models \alpha(\vec{a}, \vec{q}) \quad \text{or} \quad \models \beta(\vec{a}, \vec{r}).$$

## Theorem

Given a *general* cut-free proof

$$P \vdash \neg\alpha(\vec{p}, \vec{q}) \rightarrow \beta(\vec{p}, \vec{r})$$

we can construct in *polynomial time* a *circuit*  $C(\vec{p})$  s.t.

$$\models C(\vec{p}) \rightarrow \alpha(\vec{p}, \vec{q}),$$

$$\models \neg C(\vec{p}) \rightarrow \beta(\vec{p}, \vec{r})$$

## Theorem

Given a *general* cut-free proof

$$P \vdash \neg\alpha(\vec{p}, \vec{q}) \rightarrow \beta(\vec{p}, \vec{r})$$

we can construct in *polynomial time* a *circuit*  $C(\vec{p})$  s.t.

$$\models C(\vec{p}) \rightarrow \alpha(\vec{p}, \vec{q}),$$

$$\models \neg C(\vec{p}) \rightarrow \beta(\vec{p}, \vec{r})$$

Hence given  $\vec{p} \mapsto \vec{a}$ , we can decide in *polynomial time* which of the two is true

$$\models \alpha(\vec{a}, \vec{q}) \quad \text{or} \quad \models \beta(\vec{a}, \vec{r}).$$



# feasible interpolation for Resolution

## Theorem

Given a *Resolution* proof  $P$  of contradiction from a set of clauses  $\{A_i(\vec{p}, \vec{q})\}_i \cup \{B_j(\vec{p}, \vec{r})\}_j$ , in symbols:

$$P : \{A_i(\vec{p}, \vec{q})\}_i \cup \{B_j(\vec{p}, \vec{r})\}_j \rightarrow \perp,$$

we can construct in *polynomial time* a *circuit*  $C$  s.t. for all assignments  $\vec{a}$

$$C(\vec{a}) = 0 \rightarrow \{A_i(\vec{p}, \vec{q})\}_i \text{ is unsatisfiable}$$

$$C(\vec{a}) = 1 \rightarrow \{B_j(\vec{p}, \vec{r})\}_j \text{ is unsatisfiable}$$

## splitting Resolution proofs

### Theorem

Given a *Resolution* proof  $P$  of contradiction

$$P : \{A_i(\vec{p}, \vec{q})\}_i \cup \{B_j(\vec{p}, \vec{r})\}_j \rightarrow \perp,$$

and an assignment for  $\vec{p} \mapsto \vec{a}$ , we can construct in *polynomial time* two proofs

- ▶  $P^A$  a proof from  $\{A_i(\vec{a}, \vec{q})\}_i$ ,
- ▶  $P^B$  a proof from  $\{B_j(\vec{a}, \vec{r})\}_j$ ,

such that *one of them is a proof of contradiction*.

## splitting Resolution proofs

### Theorem

Given a *Resolution* proof  $P$  of contradiction

$$P : \{A_i(\vec{p}, \vec{q})\}_i \cup \{B_j(\vec{p}, \vec{r})\}_j \rightarrow \perp,$$

and an assignment for  $\vec{p} \mapsto \vec{a}$ , we can construct in *polynomial time* two proofs

- ▶  $P^A$  a proof from  $\{A_i(\vec{a}, \vec{q})\}_i$ ,
- ▶  $P^B$  a proof from  $\{B_j(\vec{a}, \vec{r})\}_j$ ,

such that *one of them is a proof of contradiction*.

### Proof.

See my paper: *Lower bounds for resolution and cutting planes proofs and monotone computations*.

## splitting Resolution proofs

### Theorem

Given a *Resolution* proof  $P$  of contradiction

$$P : \{A_i(\vec{p}, \vec{q})\}_i \cup \{B_j(\vec{p}, \vec{r})\}_j \rightarrow \perp,$$

and an assignment for  $\vec{p} \mapsto \vec{a}$ , we can construct in *polynomial time* two proofs

- ▶  $P^A$  a proof from  $\{A_i(\vec{a}, \vec{q})\}_i$ ,
- ▶  $P^B$  a proof from  $\{B_j(\vec{a}, \vec{r})\}_j$ ,

such that *one of them is a proof of contradiction*.

### Proof.

See my paper: *Lower bounds for resolution and cutting planes proofs and monotone computations*.

**Missing argument:** We need to show that after the substitution  $\vec{p} := \vec{a}$  none of the chosen clauses disappears. This follows by induction. □

# splitting Resolution proofs

## Theorem

Given a *Resolution* proof  $P$  of contradiction

$$P : \{A_i(\vec{p}, \vec{q})\}_i \cup \{B_j(\vec{p}, \vec{r})\}_j \rightarrow \perp,$$

and an assignment for  $\vec{p} \mapsto \vec{a}$ , we can construct in *polynomial time* two proofs

- ▶  $P^q$  a proof from  $\{A_i(\vec{a}, \vec{q})\}_i$ ,
- ▶  $P^r$  a proof from  $\{B_j(\vec{a}, \vec{r})\}_j$ ,

such that *one of them is a proof of contradiction*.

## proof

q-clause = clause with only variables  $\vec{p}, \vec{q}$

r-clause = clause with only variables  $\vec{p}, \vec{r}$

otherwise, **mixed clause**

## proof

q-clause = clause with only variables  $\vec{p}, \vec{q}$

r-clause = clause with only variables  $\vec{p}, \vec{r}$

otherwise, mixed clause

**Idea:** We want to have only q-clauses and r-clauses.

- ▶ the initial clauses are OK
- ▶ a mixed clause appears when we resolve a q-clause with an r-clause
- ▶ in such a case the resolved variable must be from  $\vec{p}$

## proof

**q-clause** = clause with only variables  $\vec{p}, \vec{q}$

**r-clause** = clause with only variables  $\vec{p}, \vec{r}$

otherwise, **mixed clause**

**Idea:** We want to have only q-clauses and r-clauses.

- ▶ the initial clauses are OK
- ▶ a mixed clause appears when we resolve a q-clause with an r-clause
- ▶ in such a case the resolved variable must be from  $\vec{p}$

Let  $\vec{p} \mapsto \vec{a}$ . We gradually transform the clause from the proof

$C \mapsto C'$  as follows:

- ▶ if we resolve w.r.t. some  $q_i$  or  $r_i$  in the given proof, we do the same;



- ▶ if we resolve w.r.t. some  $p_i$  then, if  $a : p_i \mapsto 0$ , then

$$\frac{\Gamma \vee p, \quad \Delta \vee \neg p}{\Gamma \vee \Delta} \mapsto \frac{\Gamma' \vee p, \quad \Delta' \vee \neg p}{\Gamma'}$$

otherwise

$$\mapsto \frac{\Gamma' \vee p, \quad \Delta' \vee \neg p}{\Delta'}$$

- ▶ this is not a logically valid derivation;
- ▶ if  $C \mapsto C'$ , then  $C' \subseteq C$ ;
- ▶ hence  $\perp \mapsto \perp$ .

- ▶ if we resolve w.r.t. some  $p_i$  then, if  $a : p_i \mapsto 0$ , then

$$\frac{\Gamma \vee p, \quad \Delta \vee \neg p}{\Gamma \vee \Delta} \mapsto \frac{\Gamma' \vee p, \quad \Delta' \vee \neg p}{\Gamma'}$$

otherwise

$$\mapsto \frac{\Gamma' \vee p, \quad \Delta' \vee \neg p}{\Delta'}$$

- ▶ this is not a logically valid derivation;
- ▶ if  $C \mapsto C'$ , then  $C' \subseteq C$ ;
- ▶ hence  $\perp \mapsto \perp$ .

**Next** substitute  $\vec{a}$  and  $C' \mapsto C''$ :

- ▶ if  $C'$  has a true literal, then  $C'' := \top$
- ▶ otherwise  $C'' := C'$ -less literals from  $\vec{p}$ .

- ▶ if we resolve w.r.t. some  $p_i$  then, if  $a : p_i \mapsto 0$ , then

$$\frac{\Gamma \vee p, \Delta \vee \neg p}{\Gamma \vee \Delta} \mapsto \frac{\Gamma' \vee p, \Delta' \vee \neg p}{\Gamma'}$$

otherwise

$$\mapsto \frac{\Gamma' \vee p, \Delta' \vee \neg p}{\Delta'}$$

- ▶ this is not a logically valid derivation;
- ▶ if  $C \mapsto C'$ , then  $C' \subseteq C$ ;
- ▶ hence  $\perp \mapsto \perp$ .

**Next** substitute  $\vec{a}$  and  $C' \mapsto C''$ :

- ▶ if  $C'$  has a true literal, then  $C'' := \top$
- ▶ otherwise  $C'' := C'$ -less literals from  $\vec{p}$ .

**Claim** The resulting set of clauses is a valid Resolutions proof of  $\perp$ .

- ▶ if  $a : p_i \mapsto 0$ , then

$$\frac{\Gamma' \vee p, \quad \Delta' \vee \neg p}{\Gamma'} \mapsto \frac{\Gamma'', \quad \top}{\Gamma''}$$

- ▶ if we resolve with  $q$  or  $r$  and  $C'_1 \mapsto \top$  then

$$\frac{C'_1, \quad C'_2}{C'} \mapsto \frac{\top \quad C''}{\top}$$

- ▶ etc.



## applications of feasible interpolation

1. program verification
2. lower bounds on the complexity of proofs

## applications of feasible interpolation

1. program verification
2. lower bounds on the complexity of proofs

### Theorem

*Suppose that  $\mathbf{NP} \cap \mathbf{coNP} \not\subseteq \mathbf{P}/\text{poly}$ . Then there are sequences of tautologies that do not have polynomial size proofs in any propositional proof system that has feasible interpolation.*

## applications of feasible interpolation

1. program verification
2. lower bounds on the complexity of proofs

### Theorem

*Suppose that  $\mathbf{NP} \cap \mathbf{coNP} \not\subseteq \mathbf{P}/\text{poly}$ . Then there are sequences of tautologies that do not have polynomial size proofs in any propositional proof system that has feasible interpolation.*

*It suffices to assume that there exist two disjoint  $\mathbf{NP}$  sets that cannot be separated by a set in  $\mathbf{P}/\text{poly}$ .*

## applications of feasible interpolation

1. program verification
2. lower bounds on the complexity of proofs

### Theorem

*Suppose that  $\mathbf{NP} \cap \mathbf{coNP} \not\subseteq \mathbf{P}/\text{poly}$ . Then there are sequences of tautologies that do not have polynomial size proofs in any propositional proof system that has feasible interpolation.*

*It suffices to assume that there exist two disjoint  $\mathbf{NP}$  sets that cannot be separated by a set in  $\mathbf{P}/\text{poly}$ .*

$\mathbf{P}/\text{poly}$  = the nonuniform version of  $\mathbf{P}$  = sets definable by polynomial size Boolean circuits.



## Proof.

Let  $A, B$  be disjoint **NP** sets that cannot be separated by a set in **P/poly**. Let

$$A := \{\bar{u} \mid \exists \bar{v} \neg \alpha_n(\bar{u}, \bar{v}), n \in \mathbb{N}\},$$

$$B := \{\bar{u} \mid \exists \bar{w} \neg \beta_n(\bar{u}, \bar{w}), n \in \mathbb{N}\}$$

Then the sequence of formulas

$$\alpha_n(\bar{u}, \bar{v}) \vee \beta_n(\bar{u}, \bar{w})$$

expresses that  $A \cap B = \emptyset$ . Hence they are **tautologies**.

## Proof.

Let  $A, B$  be disjoint **NP** sets that cannot be separated by a set in **P/poly**. Let

$$A := \{\bar{u} \mid \exists \bar{v} \neg \alpha_n(\bar{u}, \bar{v}), n \in \mathbb{N}\},$$

$$B := \{\bar{u} \mid \exists \bar{w} \neg \beta_n(\bar{u}, \bar{w}), n \in \mathbb{N}\}$$

Then the sequence of formulas

$$\alpha_n(\bar{u}, \bar{v}) \vee \beta_n(\bar{u}, \bar{w})$$

expresses that  $A \cap B = \emptyset$ . Hence they are **tautologies**.

Let  $\mathcal{P}$  be a proof system with feasible interpolation and suppose  $\mathcal{P}$  has polynomial size proofs  $P_n$  of these tautologies. By feasible interpolation, for every  $\bar{a}$ , we can decide **in polynomial time** whether

$$\alpha_n(\bar{a}, \bar{v}) \quad \text{or} \quad \beta_n(\bar{a}, \bar{w})$$

is a tautology, i.e., whether  $\bar{a} \notin A$  or  $\bar{a} \notin B$ .

## Proof.

Let  $A, B$  be disjoint **NP** sets that cannot be separated by a set in **P/poly**. Let

$$A := \{\bar{u} \mid \exists \bar{v} \neg \alpha_n(\bar{u}, \bar{v}), n \in \mathbb{N}\},$$

$$B := \{\bar{u} \mid \exists \bar{w} \neg \beta_n(\bar{u}, \bar{w}), n \in \mathbb{N}\}$$

Then the sequence of formulas

$$\alpha_n(\bar{u}, \bar{v}) \vee \beta_n(\bar{u}, \bar{w})$$

expresses that  $A \cap B = \emptyset$ . Hence they are **tautologies**.

Let  $\mathcal{P}$  be a proof system with feasible interpolation and suppose  $\mathcal{P}$  has polynomial size proofs  $P_n$  of these tautologies. By feasible interpolation, for every  $\bar{a}$ , we can decide **in polynomial time** whether

$$\alpha_n(\bar{a}, \bar{v}) \quad \text{or} \quad \beta_n(\bar{a}, \bar{w})$$

is a tautology, i.e., whether  $\bar{a} \notin A$  or  $\bar{a} \notin B$ .

From **polynomial time algorithm** we can construct **polynomial**

we cannot prove  $\mathbf{NP} \cap \mathbf{coNP} \not\subseteq \mathbf{P}/\text{poly}$ , yet ...

we cannot prove  $\mathbf{NP} \cap \mathbf{coNP} \not\subseteq \mathbf{P}/\text{poly}$ , yet ...

Monotone Interpolation: if  $\bar{u}$  occurs

- ▶ only positively in  $\alpha(\vec{p}, \vec{q})$  or
- ▶ only negatively in  $\beta(\vec{p}, \vec{r})$ ,

then there exists a **monotone** polynomial size circuit  $C(\vec{p})$  s.t.

$$\models C(\vec{p}) \rightarrow \alpha(\vec{p}, \vec{q}),$$

$$\models \neg C(\vec{p}) \rightarrow \beta(\vec{p}, \vec{r}).$$

we cannot prove  $\mathbf{NP} \cap \mathbf{coNP} \not\subseteq \mathbf{P}/\text{poly}$ , yet ...

Monotone Interpolation: if  $\bar{u}$  occurs

- ▶ only positively in  $\alpha(\vec{p}, \vec{q})$  or
- ▶ only negatively in  $\beta(\vec{p}, \vec{r})$ ,

then there exists a **monotone** polynomial size circuit  $C(\vec{p})$  s.t.

$$\models C(\vec{p}) \rightarrow \alpha(\vec{p}, \vec{q}),$$

$$\models \neg C(\vec{p}) \rightarrow \beta(\vec{p}, \vec{r}).$$

We do have exponential lower bounds on monotone circuits separating disjoint **NP** sets, hence we can prove lower bounds in this way.

no feasible interpolation for strong proof systems

## no feasible interpolation for strong proof systems

In strong proof systems we do have polynomial size proofs  $A \cap B = \emptyset$  for sets that we *believe* cannot be separated by a set in  $\mathbf{P}$ . Hence we believe that **these systems do not have feasible interpolation.**



## no feasible interpolation for strong proof systems

In strong proof systems we do have polynomial size proofs  $A \cap B = \emptyset$  for sets that we *believe* cannot be separated by a set in  $\mathbf{P}$ . Hence we believe that **these systems do not have feasible interpolation**.

### Theorem

*If the factoring problem is not solvable in polynomial time, then Frege systems, sequent calculi with cut and natural deduction system do **not** have feasible interpolation.*

## no feasible interpolation for strong proof systems

In strong proof systems we do have polynomial size proofs  $A \cap B = \emptyset$  for sets that we *believe* cannot be separated by a set in  $\mathbf{P}$ . Hence we believe that **these systems do not have feasible interpolation**.

### Theorem

*If the factoring problem is not solvable in polynomial time, then Frege systems, sequent calculi with cut and natural deduction system do **not** have feasible interpolation.*

*Factoring* is the problem to find nontrivial factors of a given composed integer.

## proof theory of 1st order logic

(See Buss's chapter in Handbook)

## proof theory of 1st order logic

(See Buss's chapter in Handbook)

### **Syntax**

# proof theory of 1st order logic

(See Buss's chapter in Handbook)

## Syntax

Primitive concepts

- ▶ relation and function symbols  $R, S, \dots, f, g, \dots$
- ▶ the equality sign  $=$
- ▶ variables  $x, y, \dots$  (for elements) and constants  $c, d, \dots$
- ▶ propositional connectives  $\neg, \wedge, \dots$
- ▶ quantifiers  $\forall, \exists$
- ▶ parentheses  $(, )$

# proof theory of 1st order logic

(See Buss's chapter in Handbook)

## Syntax

### Primitive concepts

- ▶ relation and function symbols  $R, S, \dots, f, g, \dots$
- ▶ the equality sign  $=$
- ▶ variables  $x, y, \dots$  (for elements) and constants  $c, d, \dots$
- ▶ propositional connectives  $\neg, \wedge, \dots$
- ▶ quantifiers  $\forall, \exists$
- ▶ parentheses  $(, )$

### Terms and formulas

- ▶ terms  $t, s, \dots$ , e.g.,  $f(c, g(d))$
- ▶ **atomic formulas**  $R(t_1, \dots, t_n)$ ,  $t_1 = t_2$ , where  $t_i$  are terms
- ▶ general formulas may have free variables
- ▶ **sentences** = formulas with no free variables
- ▶ **prenex formulas/sentences** = all quantifiers are in the prefix

# proof theory of 1st order logic

(See Buss's chapter in Handbook)

## Syntax

### Primitive concepts

- ▶ relation and function symbols  $R, S, \dots, f, g, \dots$
- ▶ the equality sign  $=$
- ▶ variables  $x, y, \dots$  (for elements) and constants  $c, d, \dots$
- ▶ propositional connectives  $\neg, \wedge, \dots$
- ▶ quantifiers  $\forall, \exists$
- ▶ parentheses  $(, )$

### Terms and formulas

- ▶ terms  $t, s, \dots$ , e.g.,  $f(c, g(d))$
- ▶ **atomic formulas**  $R(t_1, \dots, t_n)$ ,  $t_1 = t_2$ , where  $t_i$  are terms
- ▶ general formulas may have free variables
- ▶ **sentences** = formulas with no free variables
- ▶ **prenex formulas/sentences** = all quantifiers are in the prefix

*I suppose that you know what a well-formed formula is, what the scope of a quantifier is, which variables are bounded etc.*

## Semantics

**Fact** [attributed to A. Tarski] There is a well defined relation of satisfaction of a formula  $\phi(x_1, \dots, x_n)$  by elements  $a_1, \dots, a_n$  in a model  $M$ , which is denoted by

$$M \models \phi[a_1, \dots, a_n].$$

**Proof.**

Define inductively on the complexity of terms and formulas.  $\square$



## Semantics

**Fact** [attributed to A. Tarski] There is a well defined relation of satisfaction of a formula  $\phi(x_1, \dots, x_n)$  by elements  $a_1, \dots, a_n$  in a model  $M$ , which is denoted by

$$M \models \phi[a_1, \dots, a_n].$$

**Proof.**

Define inductively on the complexity of terms and formulas.  $\square$

**Definition**

A sentence  $\phi$  is logically valid, if for every model  $M$  (of appropriate signature)  $M \models \phi$ .

# Hilbert-style calculus

Frege system for propositional axioms and rules

+ quantifier axioms and rules:

## Hilbert-style calculus

Frege system for propositional axioms and rules

+ quantifier axioms and rules:

**Axioms** (I am now using  $\rightarrow$  for implication.)

$$\phi(t) \rightarrow \exists x.\phi(x) \quad (\forall x.\phi(x)) \rightarrow \phi(t)$$

$t$  is a term **not containing any bound variables**.

# Hilbert-style calculus

Frege system for propositional axioms and rules

+ quantifier axioms and rules:

**Axioms** (I am now using  $\rightarrow$  for implication.)

$$\phi(t) \rightarrow \exists x.\phi(x) \quad (\forall x.\phi(x)) \rightarrow \phi(t)$$

$t$  is a term **not containing any bound variables.**

**Rules**

$$\frac{\phi(x) \rightarrow \psi}{(\exists x.\phi(x)) \rightarrow \psi} \quad \frac{\psi \rightarrow \phi(x)}{\psi \rightarrow \forall x.\phi(x)}$$

where  $x$  is not free in  $\psi$ .

# Hilbert-style calculus

Frege system for propositional axioms and rules

+ quantifier axioms and rules:

**Axioms** (I am now using  $\rightarrow$  for implication.)

$$\phi(t) \rightarrow \exists x.\phi(x) \quad (\forall x.\phi(x)) \rightarrow \phi(t)$$

$t$  is a term **not containing any bound variables.**

**Rules**

$$\frac{\phi(x) \rightarrow \psi}{(\exists x.\phi(x)) \rightarrow \psi} \quad \frac{\psi \rightarrow \phi(x)}{\psi \rightarrow \forall x.\phi(x)}$$

where  $x$  is **not free in  $\psi$ .**

**Proofs** are sequences of **formulas.**

# Hilbert-style calculus

Frege system for propositional axioms and rules

+ quantifier axioms and rules:

**Axioms** (I am now using  $\rightarrow$  for implication.)

$$\phi(t) \rightarrow \exists x.\phi(x) \quad (\forall x.\phi(x)) \rightarrow \phi(t)$$

$t$  is a term **not containing any bound variables.**

**Rules**

$$\frac{\phi(x) \rightarrow \psi}{(\exists x.\phi(x)) \rightarrow \psi} \quad \frac{\psi \rightarrow \phi(x)}{\psi \rightarrow \forall x.\phi(x)}$$

where  $x$  is **not free in  $\psi$ .**

**Proofs** are sequences of **formulas.**

Formalizations with MP only and sentences are known.

## axioms of equality

See Buss's chapter.

## axioms of equality

See Buss's chapter.

### Exercise

1. *Derive the axiom of the nonempty domain*

$$\exists x(x = x)$$

2. *Can one prove that the domain is nonempty without using equality? How can one state such an axiom?*



## the sequent calculus

Useful convention:  $a, b, \dots$  free variables,  $x, y, \dots$  bounded variables.

Notation:  $\Rightarrow$  for the arrow in sequents.

## the sequent calculus

Useful convention:  $a, b, \dots$  free variables,  $x, y, \dots$  bounded variables.

Notation:  $\Rightarrow$  for the arrow in sequents.

No axioms for quantifiers!

## the sequent calculus

Useful convention:  $a, b, \dots$  free variables,  $x, y, \dots$  bounded variables.

Notation:  $\Rightarrow$  for the arrow in sequents.

No axioms for quantifiers!

Quantifier rules

$$\text{(weak)} \quad \frac{\Gamma \Rightarrow \Delta, \phi(t)}{\Gamma \Rightarrow \Delta, \exists x.\phi(x)} \quad \frac{\phi(t), \Gamma \Rightarrow \Delta}{\forall x.\phi(x), \Gamma \Rightarrow \Delta}$$

where  $t$  is a term **not containing any bound variables**.

$$\text{(strong)} \quad \frac{\Gamma \Rightarrow \Delta, \phi(a)}{\Gamma \Rightarrow \Delta, \forall x.\phi(x)} \quad \frac{\phi(a), \Gamma \Rightarrow \Delta}{\exists x.\phi(x), \Gamma \Rightarrow \Delta}$$

where  $a$  **does not occur in  $\psi$** .

## the sequent calculus

Useful convention:  $a, b, \dots$  free variables,  $x, y, \dots$  bounded variables.

Notation:  $\Rightarrow$  for the arrow in sequents.

No axioms for quantifiers!

Quantifier rules

$$\text{(weak)} \quad \frac{\Gamma \Rightarrow \Delta, \phi(t)}{\Gamma \Rightarrow \Delta, \exists x.\phi(x)} \quad \frac{\phi(t), \Gamma \Rightarrow \Delta}{\forall x.\phi(x), \Gamma \Rightarrow \Delta}$$

where  $t$  is a term **not containing any bound variables**.

$$\text{(strong)} \quad \frac{\Gamma \Rightarrow \Delta, \phi(a)}{\Gamma \Rightarrow \Delta, \forall x.\phi(x)} \quad \frac{\phi(a), \Gamma \Rightarrow \Delta}{\exists x.\phi(x), \Gamma \Rightarrow \Delta}$$

where  $a$  **does not occur in  $\psi$** .

Axioms of equality: same, but stated as sequents (See Buss's chapter)

## examples of wrong applications

$$\frac{\Rightarrow \forall x (f(x) = f(x))}{\Rightarrow \exists y \forall x (f(x) = y)}$$

## examples of wrong applications

$$\frac{\Rightarrow \forall x (f(x) = f(x))}{\Rightarrow \exists y \forall x (f(x) = y)}$$

$$\frac{a = b \Rightarrow a = b}{a = b \Rightarrow \forall x (x = b)}$$

# Natural Deduction

quantifier rules

# Natural Deduction

quantifier rules

$$\forall\text{-intro} \quad \frac{A(b)}{(\forall x)A(x)}$$

$$\forall\text{-elim} \quad \frac{(\forall x)A(x)}{A(t)}$$

$$\exists\text{-intro} \quad \frac{A(t)}{(\exists x)A(x)}$$

$$\exists\text{-elim} \quad \frac{(\exists x)A(x) \quad [A(b)] \quad B}{B}$$



## Lesson 5

### cut-elimination in the sequent calculus

Preprocessing:

- ▶ put the proof into a tree-like form
- ▶ ensure **the free variable normal form** — use distinct free variables whenever possible

## Lesson 5

### cut-elimination in the sequent calculus

Preprocessing:

- ▶ put the proof into a tree-like form
- ▶ ensure **the free variable normal form** — use distinct free variables whenever possible

Caveat:

- ▶ When transforming the proof watch for possible conflicts of free variables in the strong q. rules!
- ▶ Also do not forget about contractions!

# example

$P_1(a, b)$

$P_2(s, t)$

$$\begin{array}{c}
 \dots \\
 \hline
 A(a), A(b), \Gamma \rightarrow \Delta \\
 \hline
 \exists x A(x), A(b), \Gamma \rightarrow \Delta \\
 \hline
 \exists x A(x), \exists x A(x), \Gamma \rightarrow \Delta \\
 \hline
 \text{contraction} \frac{\exists x A(x), \exists x A(x), \Gamma \rightarrow \Delta}{\exists x A(x), \Gamma \rightarrow \Delta} \\
 \hline
 \text{cut} \frac{\exists x A(x), \Gamma \rightarrow \Delta}{\Gamma \rightarrow \Sigma}
 \end{array}
 \qquad
 \begin{array}{c}
 \dots \\
 \hline
 \Gamma \rightarrow A(s), A(t), \Delta \\
 \hline
 \Gamma \rightarrow \exists x A(x), A(t) \Delta \\
 \hline
 \Gamma \rightarrow \exists x A(x), \exists x A(x) \Delta \\
 \hline
 \text{contraction} \frac{\Gamma \rightarrow \exists x A(x), \exists x A(x) \Delta}{\Gamma \rightarrow \exists x A(x), \Delta} \\
 \hline
 \Gamma \rightarrow \Sigma
 \end{array}$$

# example

 $P_1(a, b)$ 
 $P_2(s, t)$ 

$$\begin{array}{c}
 \dots \\
 \hline
 A(a), A(b), \Gamma \rightarrow \Delta \\
 \hline
 \exists x A(x), A(b), \Gamma \rightarrow \Delta \\
 \hline
 \exists x A(x), \exists x A(x), \Gamma \rightarrow \Delta \\
 \hline
 \text{contraction} \frac{\quad}{\exists x A(x), \Gamma \rightarrow \Delta} \\
 \hline
 \text{cut} \frac{\quad}{\Gamma \rightarrow \Sigma}
 \end{array}
 \qquad
 \begin{array}{c}
 \dots \\
 \hline
 \Gamma \rightarrow A(s), A(t), \Delta \\
 \hline
 \Gamma \rightarrow \exists x A(x), A(t) \Delta \\
 \hline
 \Gamma \rightarrow \exists x A(x), \exists x A(x) \Delta \\
 \hline
 \text{contraction} \frac{\quad}{\Gamma \rightarrow \exists x A(x), \Delta} \\
 \hline
 \Gamma \rightarrow \Sigma
 \end{array}$$

 $P_1(a, b) \mapsto P_1(s, s), P_1(t, t)$ 

$$\begin{array}{c}
 \dots \\
 \hline
 A(s), A(s), \Gamma \rightarrow \Delta \\
 \hline
 \text{cut} \frac{\quad}{A(s), \Gamma \rightarrow \Delta} \\
 \hline
 \text{cut} \frac{\quad}{\Gamma \rightarrow \Sigma}
 \end{array}
 \qquad
 \begin{array}{c}
 \dots \\
 \hline
 A(t), A(t), \Gamma \rightarrow \Delta \\
 \hline
 \text{cut} \frac{\quad}{A(t), \Gamma \rightarrow \Delta} \\
 \hline
 A(s), \Gamma \rightarrow \Sigma \\
 \hline
 \Gamma \rightarrow \Sigma
 \end{array}$$

What is a **direct ancestor**?

**Example**

$$\frac{\frac{\dots}{A(a) \rightarrow B(a)}}{A(a) \rightarrow \exists x B(x)}{\exists x A(x) \rightarrow \exists x B(x)}$$

What is a **direct ancestor**?

**Example**

$$\frac{\frac{\dots}{A(a) \rightarrow B(a)}}{A(a) \rightarrow \exists x B(x)}{\exists x A(x) \rightarrow \exists x B(x)}$$

$$\frac{\dots}{A(t) \rightarrow B(t)}{A(t) \rightarrow \exists x B(x)}$$

## Definition

$A$  is a **generalized subformula** of  $B$  if it is a substitution instance of a subformula of  $B$ .

## Proposition

*Every formula in a cut-free proof is a generalized subformula of a formula in the last sequent.*

## mid-sequent theorem

### Theorem

Suppose  $\phi$  is a provable sentence in a *prenex form*. Then there exists a (cut-free) proof of  $\rightarrow \phi$  in which there a sequent  $\rightarrow \Delta$  (*the mid-sequent*) such that

- ▶ there are no quantifier rules above  $\rightarrow \Delta$  (thus the mid-sequent does not contain quantifiers)
- ▶ there are only quantifier rules and structural rules below  $\rightarrow \Delta$ .



# mid-sequent theorem

## Theorem

Suppose  $\phi$  is a provable sentence in a *prenex form*. Then there exists a (cut-free) proof of  $\rightarrow \phi$  in which there a sequent  $\rightarrow \Delta$  (*the mid-sequent*) such that

- ▶ there are no quantifier rules above  $\rightarrow \Delta$  (thus the mid-sequent does not contain quantifiers)
- ▶ there are only quantifier rules and structural rules below  $\rightarrow \Delta$ .

## Proof.

1. Take a cut-free proof in the free-variable normal form.
2. Whenever a propositional rule is below a quantifier rule, switch the rules.



## mid-sequent theorem

### Theorem

Suppose  $\phi$  is a provable sentence in a *prenex form*. Then there exists a (cut-free) proof of  $\rightarrow \phi$  in which there a sequent  $\rightarrow \Delta$  (*the mid-sequent*) such that

- ▶ there are no quantifier rules above  $\rightarrow \Delta$  (thus the mid-sequent does not contain quantifiers)
- ▶ there are only quantifier rules and structural rules below  $\rightarrow \Delta$ .

### Proof.

1. Take a cut-free proof in the free-variable normal form.
2. Whenever a propositional rule is below a quantifier rule, switch the rules.

□

Simple idea, tedious verification.

## digression — some history

### **Gerhard Gentzen** (1909-1945)

- ▶ calculus of natural deduction, sequent calculus
- ▶ cut-elimination theorem
- ▶ consistency of Peano Arithmetic assuming  $\epsilon_0$  is a well-ordering, the first result in *ordinal analysis of theories*

## digression — some history

### **Gerhard Gentzen** (1909-1945)

- ▶ calculus of natural deduction, sequent calculus
- ▶ cut-elimination theorem
- ▶ consistency of Peano Arithmetic assuming  $\epsilon_0$  is a well-ordering, the first result in *ordinal analysis of theories*

### **Jacques Herbrand** (1908-1931)

- ▶ algebraic number fields
- ▶ logic – Herbrand's theorem
- ▶ computability theory – the Gödel-Herbrand recursive functions

# Herbrand's Theorem

## Theorem (basic version)

Let  $A$  be an existential sentence

$$\exists x_1 \dots \exists x_n \phi(x_1, \dots, x_n)$$

( $\phi$  an open, i.e., quantifier-free formula). Then TFAE

1.  $A$  is logically valid ( $\equiv$  provable)
2. there exist terms  $t_{ij}$ ,  $i = 1, \dots, n$ ,  $j = 1, \dots, m$  in the language of  $A$  such that

$$\bigvee_{j=1}^m \phi(t_{1j}, \dots, t_{nj})$$

is a *propositional tautology*.

Proof.

Let  $\rightarrow \Gamma$  be the mid-sequent in a proof of  $\rightarrow A$ , then  $\rightarrow \Gamma$  is

$$\rightarrow \phi(t_{11}, \dots, t_{n1}), \dots, \phi(t_{1m}, \dots, t_{nm})$$



## exercise

Prove the following generalization:

### Theorem (basic version)

Let  $A$  be a  $\forall\exists$  prenex sentence

$$\forall y_1 \dots \forall y_k \exists x_1 \dots \exists x_n \phi(x_1, \dots, x_n)$$

Then TFAE

1.  $A$  is logically valid
2. there exist terms  $t_{ij}$ ,  $i = 1, \dots, n$ ,  $j = 1, \dots, m$  in the language of  $A$  such that

$$\bigvee_{j=1}^m \phi(a_1, \dots, a_k, t_{1j}, \dots, t_{nj})$$

is a *propositional tautology*.

## example

Let  $P$  be predicate,  $0$  a constant, and  $S$  a unary function. We will write  $S^n x$  for  $S$   $n$ -times iterated.



## example

Let  $P$  be predicate,  $0$  a constant, and  $S$  a unary function. We will write  $S^n x$  for  $S$   $n$ -times iterated.

The following is a logically true sentence for every concrete  $n$ :

$$(P(0) \wedge \forall x(P(x) \rightarrow P(Sx))) \rightarrow P(S^n 0)$$

We can prove it in  $O(\log n)$  steps by deriving gradually

$$\forall x(P(x) \rightarrow P(S^2 x)), \forall x(P(x) \rightarrow P(S^4 x)), \forall x(P(x) \rightarrow P(S^8 x)), \dots$$

from  $\forall x(P(x) \rightarrow P(Sx))$ .

## example

Let  $P$  be predicate,  $0$  a constant, and  $S$  a unary function. We will write  $S^n x$  for  $S$   $n$ -times iterated.

The following is a logically true sentence for every concrete  $n$ :

$$(P(0) \wedge \forall x(P(x) \rightarrow P(Sx))) \rightarrow P(S^n 0)$$

We can prove it in  $O(\log n)$  steps by deriving gradually

$$\forall x(P(x) \rightarrow P(S^2 x)), \forall x(P(x) \rightarrow P(S^4 x)), \forall x(P(x) \rightarrow P(S^8 x)), \dots$$

from  $\forall x(P(x) \rightarrow P(Sx))$ .

Write it as an existential formula:

$$\exists x(\neg P(0) \vee (P(x) \wedge \neg P(Sx)) \vee P(S^n 0))$$

## example, contd

The mid-sequent is  $\rightarrow \Delta$  where  $\Delta$  contains all

$$\neg P(0) \vee (P(S^i 0) \wedge \neg P(S^{i+1} 0)) \vee P(S^n 0), \quad i = 0, \dots, n-1.$$

Applying  $\exists$ -right rule to terms  $t := S^i 0$  we get

$$\exists x (\neg P(0) \vee (P(x) \wedge \neg P(Sx)) \vee P(S^n 0))$$

from each of the formulas from  $\Delta$ . Then we contract to a single formula.

## example, contd

The mid-sequent is  $\rightarrow \Delta$  where  $\Delta$  contains all

$$\neg P(0) \vee (P(S^i 0) \wedge \neg P(S^{i+1} 0)) \vee P(S^n 0), \quad i = 0, \dots, n-1.$$

Applying  $\exists$ -right rule to terms  $t := S^i 0$  we get

$$\exists x (\neg P(0) \vee (P(x) \wedge \neg P(Sx)) \vee P(S^n 0))$$

from each of the formulas from  $\Delta$ . Then we contract to a single formula.

Herbrand's theorem gives us:

$$\begin{aligned} &\neg P(0) \vee \\ &(P(0) \wedge \neg P(S0)) \vee (P(S0) \wedge \neg P(SS0)) \vee (P(SS0) \wedge \neg P(SSS0)) \vee \dots \\ &\qquad\qquad\qquad \vee P(S^n(0)) \end{aligned}$$

The substituted terms are  $0, S0, SS0, SSS0, \dots, S^{n-1}0$ .

## example, contd

The mid-sequent is  $\rightarrow \Delta$  where  $\Delta$  contains all

$$\neg P(0) \vee (P(S^i 0) \wedge \neg P(S^{i+1} 0)) \vee P(S^n 0), \quad i = 0, \dots, n-1.$$

Applying  $\exists$ -right rule to terms  $t := S^i 0$  we get

$$\exists x (\neg P(0) \vee (P(x) \wedge \neg P(Sx)) \vee P(S^n 0))$$

from each of the formulas from  $\Delta$ . Then we contract to a single formula.

Herbrand's theorem gives us:

$$\begin{aligned} & \neg P(0) \vee \\ & (P(0) \wedge \neg P(S0)) \vee (P(S0) \wedge \neg P(SS0)) \vee (P(SS0) \wedge \neg P(SSS0)) \vee \dots \\ & \vee P(S^n(0)) \end{aligned}$$

The substituted terms are  $0, S0, SS0, SSS0, \dots, S^{n-1}0$ .

Exponentially more formulas than in a proof with cuts.

## Theorem

*TFAE:*

1.  $\exists x \forall y. \phi(x, y)$  is logically valid,
2. there exist terms  $t_1, \dots, t_n$  such that

$$\phi(t_1, b_1) \vee \phi(t_2(b_1), b_2) \vee \dots \vee \phi(t_n(b_1, \dots, b_{n-1}), b_n)$$

is a propositional tautology, where  $t_i(b_1, \dots, b_{i-1})$  *may only contain* some  $b_1, \dots, b_{i-1}$ .

## Interpretation: *Teacher-Student Game*

- ▶ Teacher asks student to find  $t$  such that  $\forall y.\phi(t, y)$  holds true.
- ▶ Student tries  $t_1$ , Teacher gives a counterexample  $b_1$ ;  
 $\neg\phi(t_1, b_1)$
- ▶ knowing  $b_1$ , Student tries  $t_2$ , Teacher gives a counterexample  $b_2$ ,  $\neg\phi(t_2, b_2)$ ;
- ▶ etc.
- ▶ eventually, for some  $i \leq n$ , there is no counterexample, hence  $t_i$  is a solution.

Proof.

1.  $\rightarrow$  2. Let

$$\rightarrow \phi(t_1, b_1), \phi(t_2, b_2), \dots, \phi(t_n, b_n)$$

be the mid-sequent of a proof of  $\exists x \forall y. \phi(x, y)$ .

- ▶ Let  $\phi(t_n, b_n)$  be the formula to which the first  $\forall$ -rule is applied. Then none of  $t_1, \dots, t_n$  contains  $b_n$ . (We could apply  $\forall$ -rule if  $b_n$  were in  $t_n$ , but then we would not be able to apply  $\exists$ -rule to  $t_n$ .)
- ▶ Let  $\phi(t_{n-1}, b_{n-1})$  be the formula to which the next  $\forall$ -rule is applied. Then none of  $t_1, \dots, t_{n-1}$  contains  $b_{n-1}$ .
- ▶ ...
- ▶ Let  $\phi(t_1, b_1)$  be the formula to which the last  $\forall$ -rule is applied. Then  $t_1$  does not contain any  $b_1, \dots, b_n$ .



2.  $\rightarrow$  1. Write the disjunction as the sequent

$$\rightarrow \phi(t_1, b_1), \phi(t_2(b_1), b_2), \dots, \phi(t_n(b_1, \dots, b_{n-1}), b_n)$$

- ▶ Introduce  $\forall$  for  $b_n$ , then  $\exists$  for  $t_n$ ,
- ▶ introduce  $\forall$  for  $b_{n-1}$ , then  $\exists$  for  $t_{n-1}$ ,
- ▶ etc.
- ▶ contract.



## the general Herbrand theorem

The previous theorem can be extended to  $\forall\exists\forall\exists$  prefixes. For more complex prefixes, we do not have such a simple description.

Exercise

*Do it!*

## the general Herbrand theorem

The previous theorem can be extended to  $\forall\exists\forall\exists$  prefixes. For more complex prefixes, we do not have such a simple description.

### Exercise

*Do it!*

Therefore we use new function symbols, **Herbrand functions**, to reduce a general prenex formula to an existential.

# the general Herbrand theorem

## Example

Consider  $A := \exists x \forall y \exists z \forall u. \phi(x, y, z, u)$ . We translate  $A$  to

$$He(A) := \exists x \exists z. \phi(x, f(x), z, g(x, z))$$

where  $f, g$  are *new function symbols*.

# the general Herbrand theorem

## Example

Consider  $A := \exists x \forall y \exists z \forall u. \phi(x, y, z, u)$ . We translate  $A$  to

$$He(A) := \exists x \exists z. \phi(x, f(x), z, g(x, z))$$

where  $f, g$  are *new function symbols*. Think of  $f$  and  $g$  as *counterexamples* in case  $A$  is not true.

# the general Herbrand theorem

## Example

Consider  $A := \exists x \forall y \exists z \forall u. \phi(x, y, z, u)$ . We translate  $A$  to

$$He(A) := \exists x \exists z. \phi(x, f(x), z, g(x, z))$$

where  $f, g$  are *new function symbols*. Think of  $f$  and  $g$  as *counterexamples* in case  $A$  is not true.

If  $A$  is true, *no counterexample* is possible, hence  $He(A)$  is also true.

In general, for a prenex formula  $A$ ,  $He(A)$  is obtained by

1. omitting all  $\forall$  and
2. substituting the term  $f(x_1, \dots, x_k)$  for every  $y$  universally quantified, where  $f$  is a new function symbol and  $x_1, \dots, x_k$  are the existentially quantified variables before the universal quantifier  $\forall y$ .

N.B. if  $A$  starts with  $\forall$ , we use “nullary” function symbols, i.e., *constants*.

## Theorem (Herbrand's Theorem)

Let  $A$  be a prenex sentence, let

$$\text{He}(A) := \exists x_1 \dots \exists x_k \psi(x_1, \dots, x_k).$$

(The Herbrand functions are implicit in  $\psi$ .) Then  $A$  is logically valid iff there exist terms  $t_{ij}$ ,  $i = 1, \dots, n$ ,  $j = 1, \dots, m$ , in the language of  $\text{He}(A)$  such that

$$\bigvee_{j=1}^m \psi(t_{1j}, \dots, t_{nj})$$

is a *propositional tautology*.



## Theorem (Herbrand's Theorem)

Let  $A$  be a prenex sentence, let

$$He(A) := \exists x_1 \dots \exists x_k \psi(x_1, \dots, x_k).$$

(The Herbrand functions are implicit in  $\psi$ .) Then  $A$  is logically valid iff there exist terms  $t_{ij}$ ,  $i = 1, \dots, n$ ,  $j = 1, \dots, m$ , in the language of  $He(A)$  such that

$$\bigvee_{j=1}^m \psi(t_{1j}, \dots, t_{nj})$$

is a *propositional tautology*.

**Proof.**

We only need to show that  $\vdash A$  iff  $\vdash He(A)$ .

1. One can easily show that in fact  $\vdash A \rightarrow He(A)$ .
2. If  $\vdash He(A)$  then  $\vdash A$  — see below.



## Skolem functions

Skolem functions and  $Sk(A)$  are dual to Herbrand functions and  $He(A)$ .

### Example

$$Sk(\forall x \exists y \forall z \exists u. \phi(x, y, z, u)) := \forall x \forall z. \phi(x, f(x), z, g(x, z)).$$

# Skolem functions

Skolem functions and  $Sk(A)$  are dual to Herbrand functions and  $He(A)$ .

## Example

$Sk(\forall x \exists y \forall z \exists u. \phi(x, y, z, u)) := \forall x \forall z. \phi(x, f(x), z, g(x, z)).$

## Lemma

*Let  $M \models A$ . Then one can extend  $M$  with functions interpreting the Skolem functions of  $Sk(A)$  so that in the extended model  $M' \models Sk(A)$ .*

## Proof.

Consider the sentence above.

- ▶ For  $c \in M$ , define  $f^M(c) = d$  by choosing some  $d$  such that  $M \models \forall z \exists u \phi(c, d, z, u)$ .
- ▶ For  $c, d \in M$ , define  $g^M(c, d) = e$  by choosing some  $e$  such that  $\phi(c, f(c), d, e)$ .



# Skolem functions

Skolem functions and  $Sk(A)$  are dual to Herbrand functions and  $He(A)$ .

## Example

$Sk(\forall x \exists y \forall z \exists u. \phi(x, y, z, u)) := \forall x \forall z. \phi(x, f(x), z, g(x, z)).$

## Lemma

*Let  $M \models A$ . Then one can extend  $M$  with functions interpreting the Skolem functions of  $Sk(A)$  so that in the extended model  $M' \models Sk(A)$ .*

## Proof.

Consider the sentence above.

- ▶ For  $c \in M$ , define  $f^M(c) = d$  by choosing some  $d$  such that  $M \models \forall z \exists u \phi(c, d, z, u)$ .
- ▶ For  $c, d \in M$ , define  $g^M(c, d) = e$  by choosing some  $e$  such that  $\phi(c, f(c), d, e)$ .



We now prove that  $\vdash He(A)$  implies  $\vdash A$  by proving the contrapositive implication.

We now prove that  $\vdash He(A)$  implies  $\vdash A$  by proving the contrapositive implication.

Assume  $\not\vdash A$ . Let  $M \models \neg A$ . Then  $M \models Sk(\neg A)$ . But  $\vdash Sk(\neg A) \equiv \neg He(A)$ . Hence  $M' \models \neg He(A)$ . □