

# Integer factoring and modular square roots

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## Abstract

Buresh-Oppenheim proved that the NP search problem to find nontrivial factors of integers of a special form belongs to Papadimitriou's class PPA, and is probabilistically reducible to a problem in PPP. In this paper, we use ideas from bounded arithmetic to extend these results to arbitrary integers. We show that general integer factoring is reducible in randomized polynomial time to a PPA problem and to the problem WEAKPIGEON  $\in$  PPP. Both reductions can be derandomized under the assumption of the generalized Riemann hypothesis. We also show (unconditionally) that PPA contains some related problems, such as square root computation modulo  $n$ , and finding quadratic nonresidues modulo  $n$ .

## 1 Introduction

Integer factoring is one of the best-known problems in complexity theory which is in NP, but is not known to be polynomial-time computable. In particular, the assumed hardness of factoring has various applications in cryptography. Papadimitriou [14] introduced several classes of search problems based on parity arguments and related combinatorial principles. He showed that many natural search problems from diverse areas of mathematics belong to one of these classes, and he posed as an open problem whether the same holds for integer factoring.

The first step to answer Papadimitriou's question was undertaken by Buresh-Oppenheim [7]. He proved that factoring of "good" integers (odd integers  $n$  such that  $-1$  is not a quadratic residue modulo  $n$ ) such that  $n \equiv 1 \pmod{4}$  belongs to the search class PPA, and factoring of good integers is probabilistically poly-time reducible to a PPP problem. (Note that an odd integer is good iff it has a prime divisor  $p \equiv -1 \pmod{4}$ .)

The purpose of this paper is to exhibit similar reductions for factoring of arbitrary integers. We show that factoring is probabilistically poly-time reducible to a PPA problem, as well as

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to WEAKPIGEON, which is a PPP problem. (A similar probabilistic reduction of factoring to PPP was also independently found by Buresh-Oppenheim [6].) We isolate a convenient intermediate problem, which we call FACROOT: given integers  $n$  and  $a$  such that the Jacobi symbol  $(a|n) = 1$ , find either a proper divisor of  $n$ , or a square root of  $a$  modulo  $n$ . It is not hard to show that factoring is probabilistically poly-time reducible to FACROOT.

The main technical ingredient of our work is to demonstrate that  $\text{FACROOT} \in \text{PPA}$ . The high-level idea of the proof comes from bounded arithmetic. Jeřábek [12] introduced an arithmetical theory  $S_2^1 + \text{Count}_2(PV)$  related to PPA, and established that this theory can prove the quadratic reciprocity theorem and other properties of the Jacobi symbol, which together imply the soundness of the usual poly-time algorithm for the Jacobi symbol. In particular,  $S_2^1 + \text{Count}_2(PV)$  proves the totality of FACROOT, and then an application of a garden-variety witnessing theorem yields  $\text{FACROOT} \in \text{PPA}$ . However, since this paper is intended for a general computational complexity audience, we include a self-contained direct proof of this result, we do not assume any prior knowledge (or posterior, for that matter) of bounded arithmetic on the part of the reader.

All probabilistic reductions in this paper can be derandomized if we assume the generalized Riemann hypothesis (*GRH*). In particular, *GRH* implies that factoring is in  $\text{PPA} \cap \text{PPP}$  (and moreover, it is poly-time reducible to WEAKPIGEON). We also show unconditionally that several problems concerning quadratic residues have deterministic Turing reductions to FACROOT, and as such are in PPA: for one, given  $n$  and  $a$ , we can find either a square root of  $a$  modulo  $n$ , or a suitable witness that  $a$  is a quadratic nonresidue. For another, given an odd  $n$  which is not a perfect square, we can find an  $a$  such that  $(a|n) = -1$  (in particular,  $a$  is a quadratic nonresidue modulo  $n$ ).

The paper is organized as follows. In Section 2, we review basic concepts used in the paper to fix the notation. Section 3 presents our main results, except for the somewhat complex proof of  $\text{FACROOT} \in \text{PPA}$ , which is given separately in Section 4. Some concluding remarks follow in Section 5.

## 2 Preliminaries

An NP *search problem* is given by a poly-time computable relation  $R(x, y)$  such that  $R(x, y)$  implies  $\|y\| \leq \|x\|^c$  for some constant  $c$ , the problem is to find a  $y$  satisfying  $R(x, y)$  given  $x$ . (We use  $\|x\|$  to denote the length of  $x$ ; most of our algorithms work with integers, and we reserve  $|x|$  for the absolute value of  $x$ . We also warn the reader that we will often call our binary integers  $n$ , we will not use the convention that  $n$  implicitly denotes the length of the input.) For brevity, we may use  $R$  to denote the search problem itself. A search problem  $R$  is *total* if for every  $x$  there exists a  $y$  such that  $R(x, y)$ . Unless indicated otherwise, all search problems below will be assumed to be total NP search problems.

We will often specify NP search problems in the form “given an  $x$  such that  $P(x)$ , find a  $y$  satisfying  $R(x, y)$ ”, where  $P$  is a poly-time condition. In order to make it formally a total search problem, this formulation will be understood to denote the problem associated with the relation  $(\neg P(x) \wedge y = 0) \vee (P(x) \wedge R(x, y))$ .

A search problem  $R$  is *many-one reducible* to a search problem  $S$ , written as  $R \leq_m S$ , if there are poly-time functions  $f, g$  such that  $S(f(x), y)$  implies  $R(x, g(x, y))$ .  $R$  is *Turing-reducible* to  $S$ , written as  $R \leq_T S$ , if there exists a poly-time oracle Turing machine  $M$  (where the oracle returns strings rather than yes/no answers) such that on input  $x$ ,  $M$  computes a  $y$  solving  $R(x, y)$  whenever all answers of the oracle are correct solutions of  $S$ . The class of all search problems  $R$  such that  $R \leq_T S$  will be denoted  $\text{FP}^S$ . If  $C$  is a class of search problems, we write  $R \leq_m C$  if  $R \leq_m S$  for some  $S \in C$ , and similarly for  $R \leq_T C$ ,  $\text{FP}^C$ , as well as other reduction notions mentioned below.

Let a circuit  $C: \mathbf{2}^n \rightarrow \mathbf{2}^n$  (here,  $\mathbf{2} = \{0, 1\}$ ) encode an undirected graph  $G = \langle V, E \rangle$ , where  $V = \mathbf{2}^n \setminus \{0^n\}$ , and  $\{u, v\} \in E$  iff  $u, v \in V$ ,  $u \neq v$ ,  $C(u) = v$ , and  $C(v) = u$ . Notice that  $G$  is a partial matching. LONELY is the following search problem: given  $C$ , find  $u \in V$  unmatched by  $G$ . The class PPA (for “polynomial parity argument”) consists of all search problems many-one reducible to LONELY. (This is not Papadimitriou’s definition of PPA, it comes from [4], where it is shown to be equivalent to the original one.) By abuse of notation, we will also use LONELY to denote the following variant of the problem. Let  $f(a, x), g(a)$  be poly-time functions such that for every  $a$ ,  $g(a)$  is an odd natural number, and the function  $f_a(x) := f(a, x)$  is an involution (i.e.,  $f_a(f_a(x)) = x$ ) on the integer interval  $[0, g(a))$ . Then the problem is, given  $a$  to find an  $x < g(a)$  which is a fixpoint of  $f_a$  (i.e.,  $f_a(x) = x$ ). We will often use the fact that PPA is closed under Turing reductions:

**Theorem 2.1** (Buss and Johnson [9])  $\text{FP}^{\text{PPA}} = \text{PPA}$ . □

The class PPP (for “polynomial pigeonhole principle”) consists of problems many-one reducible to PIGEON, which is the following problem: given a circuit  $C: \mathbf{2}^n \rightarrow \mathbf{2}^n$ , find either a pair  $u \neq v$  such that  $C(u) = C(v)$ , or a  $u$  such that  $C(u) = 0^n$ . If  $p(n)$  is any polynomial such that  $p(n) > n$  for every  $n$ , let  $\text{WEAKPIGEON}_{\mathbf{2}^{2p(n)}}^{2p(n)}$  denote the following problem: given a circuit  $C: \mathbf{2}^{2p(n)} \rightarrow \mathbf{2}^{2p(n)}$ , find  $u \neq v$  such that  $C(u) = C(v)$ . We define  $\text{WEAKPIGEON} := \text{WEAKPIGEON}_{\mathbf{2}^{2n+1}}^{2n+1}$ ; the choice of  $n + 1$  here does not matter:

**Lemma 2.2** For any polynomial  $p$  as above,  $\text{WEAKPIGEON} \equiv_m \text{WEAKPIGEON}_{\mathbf{2}^{2p(n)}}^{2p(n)}$ .

*Proof:* Given a circuit  $C(\vec{x}, u): \mathbf{2}^n \times \mathbf{2} \rightarrow \mathbf{2}^n$ , we put  $m = p(n) - n$ , and we construct a circuit  $D: \mathbf{2}^n \times \mathbf{2}^m \rightarrow \mathbf{2}^n$  by  $D(\vec{x}, u_0, \dots, u_{m-1}) = C(\dots(C(C(\vec{x}), u_0), u_1), \dots, u_{m-1})$ . Given  $\langle \vec{x}, \vec{u} \rangle \neq \langle \vec{x}', \vec{u}' \rangle$  such that  $D(\vec{x}, \vec{u}) = D(\vec{x}', \vec{u}')$ , we find the largest  $i < m$  such that  $\langle \vec{y}, u_i \rangle \neq \langle \vec{y}', u'_i \rangle$ , where  $\vec{y}^{(i)} = C(\dots(C(C(\vec{x}^{(i)}), u_0^{(i)}), u_1^{(i)}), \dots, u_{i-1}^{(i)})$ . Then  $C(\vec{y}, u_i) = C(\vec{y}', u'_i)$ . □

The class of all search problems many-one reducible to WEAKPIGEON does not seem to have an established name in the literature, although it clearly deserves one. In analogy with PPP, we can call it PWPP for “polynomial weak pigeonhole principle”. Note that neither PPP nor PWPP is known to be closed under Turing reductions. The proof of Lemma 2.2 also implies that problems of the following kind belong to PWPP; we will denote them all as WEAKPIGEON by abuse of notation. Let  $\varepsilon > 0$  be a constant, and  $f, g$  poly-time function such that for any  $a$ ,  $g(a) > 0$ , and  $f_a(x) := f(a, x)$  maps the interval  $[0, [(1 + \varepsilon)g(a)]]$  into  $[0, g(a))$ . Then the problem is, given  $a$ , to find  $u < v < [(1 + \varepsilon)g(a)]$  such that  $f_a(u) = f_a(v)$ .

Apart from  $\leq_m$  and  $\leq_T$ , we will also need randomized reductions. We will use several different versions to be able to state our results precisely; the definitions below are not standard, but we believe they are quite natural.

For any constant  $0 < \varepsilon < 1$ , we say that  $R$  is *probabilistically many-one reducible to  $S$  with error  $\varepsilon$* , written as  $R \leq_m^{\text{RP}, \varepsilon} S$ , if there is a polynomial  $p$  and poly-time functions  $f(x, r)$  and  $g(x, r, y)$  such that for every  $x$ ,

$$\Pr_{\|r\|=p(\|x\|)}[\forall y [S(f(x, r), y) \Rightarrow R(x, g(x, r, y))]] \geq 1 - \varepsilon.$$

We say that  $R$  is *probabilistically many-one reducible to  $S$  with controlled error*, written as  $R \leq_m^{\text{RP}} S$ , if there is a polynomial  $p$  and poly-time functions  $f(x, 1^k, r)$  and  $g(x, 1^k, r, y)$  such that for every  $x$  and  $k$ ,

$$\Pr_{\|r\|=p(\|x\|, k)}[\forall y [S(f(x, 1^k, r), y) \Rightarrow R(x, g(x, 1^k, r, y))]] \geq 1 - 2^{-k}.$$

$R$  is *probabilistically Turing-reducible to  $S$* , written as  $R \leq_T^{\text{RP}} S$ , if there exists a polynomial  $p$  and a poly-time oracle Turing machine  $M$  such that

$$\Pr_{\|r\|=p(\|x\|)}[\text{every sound run of } M(x, r) \text{ solves } R(x, y)] \geq 1/2,$$

where a run is sound if all oracle answers are correct solutions of  $S$ . Note that the constant  $1/2$  here is arbitrary, as we can decrease the error from any constant  $\varepsilon > 0$  to any other constant (or to controlled error as above) in the usual way: we can check solutions of  $R$ , hence we can run the machine several times with independent choices of  $r$ , and return the first correct solution to the search problem. We denote by  $\text{TFRP}^S$  the class of all  $R$  such that  $R \leq_T^{\text{RP}} S$ . We observe that we can split a randomized Turing reduction as a randomized many-one reduction followed by a deterministic Turing reduction; this is particularly useful when  $S$  is from a Turing-closed class such as PPA.

**Lemma 2.3**  $\text{TFRP}^S \leq_m^{\text{RP}} \text{FP}^S$ .

*Proof:* Assume that  $R \leq_T^{\text{RP}} S$  and  $M^S$  is the Turing machine from the definition. Let  $T$  be the following search problem: given  $x$  and  $r$ , find a sound run of  $M^S(x, r)$ . It is easy to see that  $T$  is a total NP search problem, and  $R \leq_m^{\text{RP}} T \leq_T S$ .  $\square$

**Lemma 2.4**  $\text{TFRP}^{\text{TFRP}^S} = \text{TFRP}^S$ .

*Proof:* In view of Lemma 2.3 and the obvious transitivity of  $\leq_m^{\text{RP}}$ , it suffices to show that  $\text{TFRP}^S$  is closed under deterministic Turing reductions. Let thus  $T \in \text{TFRP}^S$ , and  $M^T$  be a poly-time oracle machine solving  $R(x, y)$ . Since answers of the oracle have polynomial length, the total number of sound runs of  $M$  on input  $x$  is bounded by  $2^{\|x\|^c}$  for some constant  $c$ . Using the above-mentioned amplification of success rate, we can find a randomized poly-time machine  $N^S$  solving  $T$  with error  $2^{-\|x\|^{c+1}}$ . If we then use  $N$  to answer  $M$ 's oracle queries while reusing the same pool of random bits for every call, all but a fraction of  $2^{\|x\|^c} 2^{-\|x\|^{c+1}} \ll 1$  of the random choices will be good for every possible run of the combined machine.  $\square$

A many-one reduction of  $R$  to  $S$  is supposed to construct a valid instance of  $S$  from whose solution it can recover a solution to the original problem. In the case of  $\leq_m^{\text{RP}}$ , the reduction algorithm succeeds in doing this only with some bounded probability. It will be also useful to consider stronger notions of reduction where we can check before consulting the oracle whether the particular choice of random bits leads to the desired result. The reduction function may abandon the computation with some bounded probability, but if it does not, then any valid solution of  $S$  gives a solution of  $R$ . Alternatively, we could repeat the computation until we find a “good” instance of  $S$ , and only then pass the query to the oracle; in this way, the reduction always succeeds, but only its expected running time is polynomial.

Formally,  $R$  is *probabilistically zero-error many-one reducible to  $S$* , written as  $R \leq_m^{\text{ZPP}} S$ , if there is a polynomial  $p$ , poly-time functions  $f(x, r)$  and  $g(x, r, y)$ , and a poly-time predicate  $h(x, r)$ , such that

- (i)  $\Pr_{\|r\|=p(\|x\|)}[h(x, r)] \geq 1/2$ ,
- (ii) if  $h(x, r)$  and  $S(f(x, r), y)$ , then  $R(x, g(x, r, y))$ .

Similarly,  $R$  is *probabilistically zero-error Turing-reducible to  $S$* , written as  $R \leq_T^{\text{ZPP}} S$ , if there is a polynomial  $p$ , a poly-time predicate  $h(x, r)$ , and a poly-time oracle Turing machine  $M$ , such that (i), and if  $h(x, r)$ , then every sound run of  $M^S(x, r)$  solves  $R(x, y)$ . Again, the constant  $1/2$  is arbitrary, we can amplify the success rate from any constant  $\varepsilon > 0$  to  $1 - 2^{-k}$  (even for many-one reductions). Let  $\text{TFZPP}^S$  denote the class of all problems  $R$  such that  $R \leq_T^{\text{ZPP}} S$ . Note that if there is no oracle,  $\text{TFZPP} = \text{TFRP}$ .

FACTORIZING is the following search problem: given a composite integer  $n$ , find a nontrivial divisor of  $n$ . We define FULLFAC to be the following problem: given an integer  $n > 0$ , find a sequence  $\langle p_i : i < k \rangle$  of primes such that  $n = \prod_{i < k} p_i$  (here and below, the empty product is defined to be 1). Note that FACTORIZING and FULLFAC are total NP search problems as primality testing is poly-time (Agrawal, Kayal, and Saxena [1]). Clearly,  $\text{FACTORIZING} \leq_m \text{FULLFAC} \leq_T \text{FACTORIZING}$ .

We will denote the divisibility relation by  $d \mid n$ , modular congruences by  $a \equiv b \pmod{n}$ , and greatest common divisors by  $(a, b)$ . An integer  $a$  is a *quadratic residue* modulo  $n$  if  $a \equiv b^2 \pmod{n}$  for some  $b$ . The *Legendre symbol* is defined for any integer  $a$  and an odd prime  $p$  by

$$\left(\frac{a}{p}\right) = \begin{cases} 0 & p \mid a, \\ 1 & p \nmid a \text{ and } a \text{ is a quadratic residue mod } p, \\ -1 & p \nmid a \text{ and } a \text{ is a quadratic nonresidue mod } p. \end{cases}$$

More generally, the *Jacobi symbol* is defined for any odd  $n > 0$  by

$$\left(\frac{a}{n}\right) = \prod_{i < k} \left(\frac{a}{p_i}\right),$$

where  $n = \prod_{i < k} p_i$  is the prime factorization of  $n$ . We will also write  $(a|n)$  instead of  $\left(\frac{a}{n}\right)$  for typographical convenience. A *Dirichlet character* of modulus  $n$  is a group homomorphism  $\chi: (\mathbb{Z}/n\mathbb{Z})^* \rightarrow \mathbb{C}^*$ . A character is *principal* if it only assumes the value 1, and *real* if it takes

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r ← 1
while a ≠ 0 do:
  if a < 0 then:
    a ← -a
    r ← -r if n ≡ -1 (4)
  while a is even do:
    a ← a/2
    r ← -r if n ≡ ±3 (8)
  swap a and n
  r ← -r if a ≡ n ≡ -1 (4)
  reduce a modulo n so that |a| < n/2
if n > 1 then output 0 else output r

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Figure 1: An algorithm for the Jacobi symbol  $(a|n)$

values in  $\{1, -1\}$ . Characters can be lifted to mappings  $\mathbb{Z} \rightarrow \mathbb{C}$  by putting  $\chi(a) = 0$  when  $(a, n) \neq 1$ . Note that for any odd positive  $n$ ,  $\chi_n(x) = (x|n)$  is a real character of modulus  $n$  (in particular,  $(a|n)(b|n) = (ab|n)$ ), which is principal iff  $n$  is a perfect square. The characters  $\chi_n$  are called *quadratic*. The *quadratic reciprocity theorem* states that for any coprime odd  $n, m > 0$ ,

$$\left(\frac{n}{m}\right)\left(\frac{m}{n}\right) = \begin{cases} -1 & \text{if } n \equiv m \equiv -1 \\ 1 & \text{otherwise.} \end{cases} \quad (4)$$

Together with the supplementary laws

$$\left(\frac{-1}{n}\right) = \begin{cases} 1 & n \equiv 1 \\ -1 & n \equiv -1 \end{cases} \quad (4) \quad \left(\frac{2}{n}\right) = \begin{cases} 1 & n \equiv \pm 1 \\ -1 & n \equiv \pm 3 \end{cases} \quad (8)$$

it implies that the Jacobi symbol is poly-time computable (see Figure 1).

The *generalized Riemann hypothesis*<sup>1</sup> (*GRH*) states that for every Dirichlet character  $\chi$ , all zeros of its associated  $L$ -function  $L(\chi, s)$  in the critical strip  $0 < \text{Re}(s) < 1$  satisfy  $\text{Re}(s) = 1/2$ . Let  $GRH_q$  denote the special case of *GRH* for quadratic characters  $\chi$ . We will use the following result of Bach [3], refining the work of Ankeny [2].

**Theorem 2.5** *Assume  $GRH_q$ . If  $\chi$  is a nonprincipal quadratic character with modulus  $n$ , there exists  $0 < a < 2(\ln n)^2$  such that  $\chi(a) \neq 1$ .  $\square$*

<sup>1</sup>Also called the extended Riemann hypothesis (*ERH*). The nomenclature of various extensions of *RH* varies wildly in the literature. We chose to denote the *RH* for Dirichlet  $L$ -functions by *GRH* as this name seems to be more specific, whereas *ERH* is often used for other generalizations of *RH*, such as the *RH* for Dedekind  $\zeta$ -functions, or  $L$ -functions of Hecke characters.

### 3 Search complexity of factoring

In this section, we are going to describe our main result (Theorem 3.7) on the relationship of factoring to the classes PPA and PPP (PWPP). Rather than working directly with FACTORING, it will be convenient to consider other related problems.

**Definition 3.1** Let FACROOT denote the following problem: given an odd integer  $n > 0$  and an integer  $a$  such that  $(a|n) = 1$ , find either a nontrivial divisor of  $n$ , or a square root of  $a$  modulo  $n$ .

We also give names to some special cases of FACROOT. FACROOTMUL denotes the problem, given odd  $n > 0$  and integers  $a$  and  $b$ , to find a nontrivial divisor of  $n$  or a square root of one of  $a$ ,  $b$ , or  $ab$  modulo  $n$ .

WEAKFACROOT is the following problem: given an odd  $n > 0$  and  $a, b$  such that  $(a|n) = 1$  and  $(b|n) = -1$ , find a nontrivial divisor of  $n$ , or a square root of  $a$  modulo  $n$ .

We start with basic dependencies between these problems.

#### Lemma 3.2

- (i)  $\text{WEAKFACROOT} \leq_m \text{FACROOTMUL} \leq_m \text{FACROOT}$ ;
- (ii)  $\text{WEAKFACROOT} \leq_m \text{FACTORING}$ .

*Proof:* (i): WEAKFACROOT is a special case of FACROOTMUL, since  $(a|n) = 1$  and  $(b|n) = -1$  imply that neither  $b$  nor  $ab$  is a quadratic residue modulo  $n$ . Given an instance of FACROOTMUL, the multiplicativity of the Jacobi symbol implies that  $(x|n) = 1$  for some  $x \in \{a, b, ab\}$ . We can choose such an  $x$  as the Jacobi symbol is poly-time computable, and then we pass it to FACROOT.

(ii): If  $n$  is prime, we can compute a square root of  $a$  modulo  $n$  in polynomial time using the Shanks–Tonelli algorithm. This algorithm is deterministic if we provide it with a quadratic nonresidue, which we can:  $b$ . If  $n$  is composite, we pass it to FACTORING.  $\square$

#### Lemma 3.3

- (i)  $\text{FACROOT} \leq_m^{\text{ZPP}} \text{WEAKFACROOT}$ ;
- (ii)  $\text{FACTORING} \leq_m^{\text{RP}, 1/2} \text{FACROOT}$ ;
- (iii)  $\text{FACTORING} \leq_m^{\text{RP}, 1/2} \text{WEAKFACROOT}$ .

*Proof:* (i): If  $n$  is a perfect square, we can return  $\sqrt{n}$  as its nontrivial divisor (unless it is 1, in which case we can return 0 as the square root of  $a$ ). Otherwise  $\chi_n$  is a nonprincipal real character, hence with probability at least  $1/2$ , a randomly chosen  $0 < b < n$  either shares a factor with  $n$  (in which case we can return  $(n, b)$  as a nontrivial divisor) or satisfies  $(b|n) = -1$ , and we can pass it to WEAKFACROOT.

(ii): If  $n$  is even or a perfect power, we can factor it directly, hence we may assume  $n$  is odd and it has  $k \geq 2$  distinct prime divisors. We consider the following reduction. We choose

a random  $0 < a < n$ . If  $(a, n) \neq 1$ , we can return it as a nontrivial divisor of  $n$ , otherwise we pass  $n, a$  to a FACROOT oracle.

Since  $\chi_n$  is a nonprincipal real character, we have  $(a|n) = 1$  for a half of all residues from  $(\mathbb{Z}/n\mathbb{Z})^*$ . On the other hand, if  $n = \prod_{i < k} p_i^{e_i}$ , where the  $p_i$  are distinct primes, then  $a$  coprime to  $n$  is a quadratic residue modulo  $n$  iff  $(a|p_i) = 1$  for every  $i < k$ . Using the Chinese remainder theorem, a fraction  $2^{-k}$  of  $(\mathbb{Z}/n\mathbb{Z})^*$  are quadratic residues. Thus, with probability at least  $1/2 - 2^{-k} \geq 1/4$ , the chosen  $a$  either shares a factor with  $n$ , or it satisfies  $(a|n) = 1$  while not being a quadratic residue, hence the FACROOT oracle must give us a factor of  $n$ .

We can amplify the success probability to  $1/2$  by observing that residues  $a$  such that  $(a|n) = 1$  are poly-time samplable. We assume w.l.o.g. that  $n$  is not a perfect square. The reduction works as follows. We choose random  $0 < a, b < n$ . If  $(n, a) \neq 1$  or  $(n, b) \neq 1$ , we can factorize  $n$ . Otherwise, we let  $c$  be the first residue from the list  $a, b, ab$  which satisfies  $(c|n) = 1$ , and we call FACROOT( $n, c$ ). It is easy to see that the induced distribution of  $c$  is the uniform distribution over  $\{c < n : (c|n) = 1\}$ , hence conditioned on  $(a, n) = (b, n) = 1$ ,  $c$  is a quadratic nonresidue with probability  $1 - 2^{1-k} \geq 1/2$ .

(iii): FACROOT  $\leq_m^{\text{RP}}$  WEAKFACROOT by (i) and amplification of the success rate of  $\leq_m^{\text{ZPP}}$ , hence FACTORING  $\leq_m^{\text{RP}, 1/2+\varepsilon}$  WEAKFACROOT for any  $\varepsilon > 0$  by (ii). We can get rid of the  $\varepsilon$  by observing that the proof of (ii) actually shows FACTORING  $\leq_m^{\text{RP}, 1/2-1/\sqrt{n}}$  FACROOT, taking into account residues that share a factor with  $n$ . We can reduce the error of the  $\leq_m^{\text{ZPP}}$  reduction in (i) to  $1/\sqrt{n}$ , hence FACTORING  $\leq_m^{\text{RP}, 1/2}$  WEAKFACROOT.  $\square$

We remark that there is another well-known randomized reduction of factoring to square root computation modulo  $n$  due to Rabin [15], but it is suited for a different model. In the notation above, the basic idea of Rabin's reduction is that we choose a random  $1 < a < n$ , and if it is coprime to  $n$ , we pass  $n, a^2$  to the FACROOT oracle. If the oracle were implemented as a (deterministic or randomized) algorithm working independently of the reduction without access to its random coin tosses, we would have a  $1/2$  chance that the root  $b$  of  $a^2$  returned by the oracle satisfies  $a \not\equiv \pm b \pmod{n}$ , allowing us to factorize  $n$ . However, this does not work in our setup. According to the definition of a search problem reduction, the reduction function must be able to cope with *any* valid answer to the oracle query—there is no implied guarantee that oracle answers are computed independently of the environment. In particular, it may happen the oracle is devious enough to always return the root  $b = a$  we already know.

What we need now is to show that FACROOT or some of its variants belongs to PPA and PWPP.

**Theorem 3.4** FACROOT  $\in$  PPA.

We will prove Theorem 3.4 in the next section, as the argument is a bit involved.

For the pigeonhole principle, we have the following reduction, whose idea comes from the proof of the multiplicativity of the Legendre symbol in  $I\Delta_0 + WPHP(\Delta_0)$  by Berarducci and Intrigila [5].

**Theorem 3.5** FACROOTMUL  $\in$  PWPP.



*Proof:* Assume we are given an odd  $n > 1$ , and integers  $a, b$ . If  $a$  or  $b$  shares a factor with  $n$ , we can return  $(n, a)$  or  $(n, b)$ , resp., as a nontrivial divisor of  $n$ , we thus assume both are coprime to  $n$ . Consider the following poly-time function  $f: \{0, 1, 2\} \times [1, (n-1)/2] \rightarrow [1, n-1]$ :

$$f(i, x) = \begin{cases} a_i x^2 \bmod n & \text{if } (n, x) = 1, \\ x & \text{otherwise,} \end{cases}$$

where  $a_0 = 1, a_1 = a, a_2 = b$ . Since the domain of  $f$  is  $3/2$  times larger than its range, we can use WEAKPIGEON to find a collision  $f(i, x) = f(j, y), \langle i, x \rangle \neq \langle j, y \rangle$ . We may assume  $(n, x) = (n, y) = 1$ , as otherwise we can factor  $n$ . If  $i = j$ , then  $x^2 \equiv y^2 \pmod{n}$ , but  $x \not\equiv \pm y \pmod{n}$ , hence  $(n, x - y)$  is a nontrivial divisor of  $n$ . If  $i < j$ , then  $a_j a_i^{-1} \equiv (xy^{-1})^2 \pmod{n}$  (where the inverses are also modulo  $n$ ), hence  $xy^{-1}$  is a square root of  $a, b$ , or  $ba^{-1}$  modulo  $n$ . In the last case,  $axy^{-1}$  is a square root of  $ab$ .  $\square$

We mention that essentially the same reduction of FACTORING to WEAKPIGEON by means of FACROOTMUL was used in a different context in [11, Thms. 4.1–2], and a similar reduction was independently discovered by Buresh-Oppenheim [6].

While we do not know whether PWPP is closed under general Turing reductions, the next lemma shows that it is closed under *nonadaptive* Turing reductions.

**Lemma 3.6** *The following problem, denoted WEAKPIGEON<sup>||</sup>, is in PWPP: given a sequence  $\langle C_i : i < m \rangle$  of circuits  $C_i: \mathbf{2}^{n_i+1} \rightarrow \mathbf{2}^{n_i}$ , find sequences  $\langle u_i : i < m \rangle$  and  $\langle v_i : i < m \rangle$  such that  $u_i, v_i \in \mathbf{2}^{n_i}$ ,  $u_i \neq v_i$ , and  $C_i(u_i) = C_i(v_i)$  for each  $i < m$ .*

*Proof:* Put  $n = \max_i n_i$ . We can pad each  $C_i$  to  $n$  output bits by considering the circuit  $C'_i: \mathbf{2}^{n-n_i} \times \mathbf{2}^{n_i+1} \rightarrow \mathbf{2}^{n-n_i} \times \mathbf{2}^{n_i}$  defined by  $C'_i(x, u) = \langle x, C_i(u) \rangle$ , hence we may assume  $n = n_i$  without loss of generality. By Lemma 2.2, we can amplify each  $C_i$  to a circuit  $D_i: \mathbf{2}^{mn+1} \rightarrow \mathbf{2}^n$ , and we define a circuit  $D: \mathbf{2}^{mn+1} \rightarrow (\mathbf{2}^n)^m$  by  $D(u) = \langle D_i(u) : i < m \rangle$ . Using a call to WEAKPIGEON, we find  $u \neq v$  such that  $D(u) = D(v)$ . Then  $D_i(u) = D_i(v)$  for each  $i$ , and we can compute  $u_i \neq v_i$  such that  $C_i(u_i) = C_i(v_i)$ .  $\square$

We obtain the main result of this paper by putting everything together:

### Theorem 3.7

- (i) FACTORING, FULLFAC  $\leq_m^{\text{RP}}$  PPA;
- (ii) FACTORING  $\leq_m^{\text{RP}}$  PWPP  $\subseteq$  PPP and FULLFAC  $\leq_m^{\text{RP}}$  FP<sup>PWPP</sup>  $\subseteq$  FP<sup>PPP</sup>.

*Proof:* (i): FULLFAC is in TFRP<sup>FACROOT</sup> by Lemmas 3.3 and 2.4, hence in TFRP<sup>PPA</sup> by Theorem 3.4. This implies FULLFAC  $\leq_m^{\text{RP}}$  FP<sup>PPA</sup> = PPA by Lemma 2.3 and Theorem 2.1.

(ii): We have FACTORING  $\leq_m^{\text{RP}, 1/2}$  PWPP by Lemma 3.3 and Theorem 3.5. Given  $k$  in unary, we can reduce the error to  $2^{-k}$  with  $k$  parallel calls to a WEAKPIGEON oracle, which implies FACTORING  $\leq_m^{\text{RP}}$  WEAKPIGEON<sup>||</sup>  $\in$  PWPP by Lemma 3.6. As in (i), we have FULLFAC  $\leq_m^{\text{RP}}$  PWPP, hence FULLFAC  $\leq_m^{\text{RP}}$  FP<sup>PWPP</sup> by Lemma 2.3.  $\square$

It would be desirable to derandomize the results in Theorem 3.7. We are only able to do it under an extra assumption.

**Theorem 3.8** *Assume  $GRH_q$ .*

(i)  $\text{FACTORING} \equiv_m \text{FACROOT} \equiv_m \text{WEAKFACROOT} \equiv_m \text{FACROOTMUL}$ ;

(ii)  $\text{FACTORING}, \text{FULLFAC} \in \text{PPA}$ ;

(iii)  $\text{FACTORING} \in \text{PWPP}, \text{FULLFAC} \in \text{FP}^{\text{PWPP}}$ .

*Proof:* It suffices to derandomize the reductions in Lemma 3.3 (i,ii). For  $\text{FACROOT} \leq_m \text{WEAKFACROOT}$ , note that Theorem 2.5 guarantees that we can find a suitable  $b < 2(\ln n)^2 = O(\|n\|^2)$ .

For  $\text{FACTORING} \leq_m \text{FACROOT}$ , it suffices to show that for any odd  $n$  which is not a prime power, there exists an  $0 < a < (\ln n)^{O(1)}$  such that either  $(a, n) > 1$ , or  $(a|n) = 1$  and  $a$  is a quadratic nonresidue modulo  $n$ ; the latter means that  $(a|p) = -1$  for some prime  $p | n$ .

We can assume that  $(a, n) = 1$  for every  $0 < a < 2(\ln n)^2$ , otherwise we are done. Let  $p$  be a prime divisor of  $n$  such that, if possible, the exponent of  $p$  in the prime factorization of  $n$  is even, so that  $n/p$  is not a perfect square. Then  $\chi_{n/p}$  is a nonprincipal quadratic character, and there is  $0 < u < 2(\ln(n/p))^2$  such that  $(u|n/p) = -1$  by Theorem 2.5. This implies  $(u|n) = -(u|p)$ . If  $(u|n) = 1$ , we can take  $a = u$ . Otherwise, we have  $(u|n) = -1$  and  $(u|p) = 1$ . Since  $\chi_p$  is also a nonprincipal quadratic character, there is  $0 < v < 2(\ln p)^2$  such that  $(v|p) = -1$ . If  $(v|n) = 1$ , we can take  $a = v$ , otherwise we take  $a = uv$ . Either way,  $a < 4(\ln p)^2(\ln(n/p))^2 < \frac{1}{4}(\ln n)^4$ .  $\square$

We can use  $\text{FACROOT}$  with constant  $a$  to obtain special cases of factoring that are unconditionally in deterministic PPA, see Example 4.6. In fact, we can factor  $n$  as long as there exists a quadratic nonresidue  $a = (\log n)^{O(1)}$  such that  $(a|n) = 1$ . We can express this more perspicuously as follows.

**Definition 3.9** Let  $s > 0$ . An integer  $n$  is *s-strongly composite*, if we can write  $n = n_0 n_1$  so that neither  $n_0$  nor  $n_1$  is a quadratic residue modulo  $s$ .

Notice that an odd integer is 4good in the sense of [7] iff it is 4-strongly composite.

**Theorem 3.10** *For any constant  $c$ , the following problem is in PPA: given an  $n > 0$  which is s-strongly composite for  $s = \lfloor (\log n)^c \rfloor!$ , find a nontrivial divisor of  $n$ .*

*Proof:* We can assume w.l.o.g. that  $n$  is coprime to  $\lfloor (\log n)^c \rfloor!$  (hence odd). It suffices to show that there exists an  $a$  with  $|a| \leq (\log n)^{2c}$  such that  $(a|n_0) = (a|n_1) = -1$ . Since  $n_i$  is a quadratic nonresidue modulo  $s$ , it is also a quadratic nonresidue modulo  $s_i$ , where  $s_i = 8$ , or  $s_i$  is an odd prime divisor of  $s$ , i.e.,  $s_i \leq (\log n)^c$ .

Assume first that both  $n_0, n_1$  are quadratic nonresidues modulo  $s_0$ . If  $s_0$  is odd, we put  $a = s_0^* := (-1)^{(s_0-1)/2} s_0$ . Then  $(a|n_i) = (n_i|s_0) = -1$  by quadratic reciprocity. If  $s_0 = 8$ , i.e.,  $n_0, n_1 \not\equiv 1 \pmod{8}$ , we choose  $m \in \{3, 5, 7\}$  such that  $m \not\equiv n_0, n_1 \pmod{8}$ , and we put

$$a = \begin{cases} -2 & m = 3, \\ -1 & m = 5, \\ 2 & m = 7. \end{cases}$$

Then  $(a|n_0) = (a|n_1) = -1$ .

If both  $n_0, n_1$  are quadratic nonresidues modulo  $s_1$ , we proceed similarly.

Assume that  $n_i$  is a quadratic residue modulo  $s_{1-i}$  for  $i = 0, 1$ . Put

$$a_i = \begin{cases} s_i^* & s_i \text{ is odd,} \\ -1 & s_i = 8, n_i \equiv 3, 7 \pmod{8}, \\ 2 & s_i = 8, n_i \equiv 5 \pmod{8}, \end{cases} \quad (8),$$

and  $a = a_0 a_1$ . Then  $(a_i|n_i) = -1$  and  $(a_{1-i}|n_i) = 1$ , hence  $(a|n_i) = -1$ .  $\square$

Conversely, one can show that if  $a$  is a quadratic nonresidue such that  $(a|n) = 1$ , then  $n$  is  $s$ -strongly composite for any  $s$  divisible by  $4a$ .

In Theorem 3.10, we do not need  $s$  to have the exact form given there: it is only essential that the prime factorization of  $s$  is known.

It is not clear whether one can fully unconditionally derandomize Theorem 3.7. While no deterministic polynomial-time algorithm to find quadratic nonresidues is known without *GRH*, in PPA we can do better:

**Lemma 3.11** *The following problem is in  $\text{FP}^{\text{FACROOT}} \subseteq \text{PPA}$ : given an odd  $n > 1$ , find an  $a$  such that  $(a|n) = -1$ , or a nontrivial divisor of  $n$ .*

*Proof:* Consider the following algorithm. Put  $a = -1$ . While  $(a|n) = 1$ , repeat the following steps: call the FACROOT oracle; if it provides a factor of  $n$ , we are done, otherwise we replace  $a$  with its square root modulo  $n$ .

The algorithm must halt within  $\log_2 n$  iterations: if  $a$  is a  $2^k$ th root of  $-1$ , its order in  $(\mathbb{Z}/n\mathbb{Z})^*$  is  $2^{k+1} < n$ .  $\square$

Notice that, conversely, FACROOT is Turing-reducible to WEAKFACROOT together with the problem from Lemma 3.11.

In fact, FACROOT does the dual job of factoring and computing square roots. In Theorem 3.7 we have exploited its factoring capacity by supplying it with quadratic nonresidues, but we can also use it the other way round to obtain algorithms for finding square roots and quadratic nonresidues modulo arbitrary integers. We start with the latter.

**Theorem 3.12** *The following problem is in  $\text{FP}^{\text{FACROOT}} \subseteq \text{PPA}$ : given an odd  $n$  which is not a perfect square, find an  $a$  such that  $(a|n) = -1$ .*

*Proof:* The algorithm maintains a sequence  $\langle n_i : i < k \rangle$  of integers  $n_i > 1$  such that  $n = \prod_{i < k} n_i$ , and a sequence  $\langle a_i : i < k \rangle$ , where some of the  $a_i$  may be undefined, but if  $a_i$  is defined, then  $(a_i|n_i) = -1$ . We initialize it with  $k = 1$ ,  $n_0 = n$ ,  $a_0$  undefined, and we repeat in arbitrary order the following steps until neither is applicable any more:

- If  $n_i \neq n_j$  are such that  $(n_i, n_j) > 1$ , we delete  $n_i, n_j$  from the sequence and replace them with  $(n_i, n_j)$  (two copies),  $n_i/(n_i, n_j)$ , and  $n_j/(n_i, n_j)$ , omitting those equal to 1 (this can happen only for one of the four numbers, hence the length of the sequence always increases). The  $a_i$  entries corresponding to the new numbers are undefined.

- If  $a_i$  is undefined, we call as an oracle the search problem from Lemma 3.11 on  $n_i$ . If it returns a nontrivial divisor of  $n_i$ , we expand the  $n_j$  sequence as in the previous step. Otherwise, it provides a value for  $a_i$ .

Since  $k \leq \log n$ , the algorithm must halt in  $O(\|n\|)$  steps. When it does, all  $a_i$  are defined, and the  $n_i$  entries are pairwise equal or coprime, hence we can write  $n = \prod_{i \in I} n_i^{e_i}$  for some  $I \subseteq \{0, \dots, k-1\}$  and  $e_i > 0$ , where  $n_i, i \in I$ , are pairwise coprime. Since  $n$  is not a perfect square, we can pick  $i \in I$  such that  $e_i$  is odd. By the Chinese remainder theorem, we can compute an  $a$  such that  $a \equiv a_i \pmod{n_i^{e_i}}$  and  $a \equiv 1 \pmod{n/n_i^{e_i}}$ . Then

$$\left(\frac{a}{n}\right) = \prod_{j \in I} \left(\frac{a}{n_j}\right)^{e_j} = (-1)^{e_i} = -1. \quad \square$$

**Corollary 3.13** *The following problem is in  $\text{FP}^{\text{FACROOT}} \subseteq \text{PPA}$ : given  $n > 2$ , find an  $a$  coprime to  $n$  which is a quadratic nonresidue modulo  $n$ .*

*Proof:* If  $n$  is a power of 2, we can return 3. Otherwise, we can write  $n = 2^e m^{2^k}$ , where  $m$  is odd and not a perfect square. By Theorem 3.12, we can find  $a$  such that  $(a|m) = -1$ . By adding  $m$  to  $a$  if necessary, we can make sure  $a$  is odd, hence  $(n, a) = 1$ . Since  $a$  is a quadratic nonresidue modulo  $m \mid n$ , it is also a nonresidue modulo  $n$ .  $\square$

Another problem we are going to reduce to FACROOT is the computation of square roots modulo  $n$ . A priori it is not clear how to formulate it as a total NP search problem, as the quadratic residuosity problem is neither known nor assumed to be poly-time decidable. We can remedy this by requiring the search problem to find something sensible also for quadratic nonresidues.

**Definition 3.14** Let  $n$  be a positive integer. If  $(a, n) = 1$ , a divisor  $m \mid n$  is a *coprime nonsquare witness for  $a$  modulo  $n$*  if

- $m$  is odd and  $\left(\frac{a}{m}\right) = -1$ , or
- $m = 4$  and  $a \equiv 3 \pmod{4}$ , or
- $m = 8$  and  $a \equiv 5 \pmod{8}$ .

If  $a$  is an arbitrary integer, an  $m$  is a *nonsquare witness for  $a$  modulo  $n$* , if  $m$  is not a perfect square,  $m$  is odd or 2, and there are  $e, b$ , and  $j < e$  such that  $m^e \mid n$ ,  $a = m^j b$ ,  $(m, b) = 1$ , and if  $j$  is even,  $m$  (if odd) or 4 or 8 (if  $m = 2$ ) is a coprime nonsquare witness for  $b$  modulo  $m^{e-j}$ .

It is easy to see that the property of being a nonsquare witness is poly-time decidable.

Let ROOT denote the following search problem: given  $n > 0$  and  $a$ , find either a square root of  $a$  modulo  $n$ , or a nonsquare witness for  $a$  modulo  $n$ .

**Lemma 3.15** *If there exists a nonsquare witness for  $a$  modulo  $n$ , then  $a$  is a quadratic nonresidue modulo  $n$ .*

*Proof:* If  $m$  is a coprime nonsquare witness for  $a$ , then  $a$  is a quadratic nonresidue modulo  $m$ , and a fortiori modulo  $n$ .

Let  $m$  be a nonsquare witness for  $a$ , and let  $e$ ,  $b$ , and  $j$  be as in Definition 3.14. Assume for contradiction  $a \equiv (uc)^2 \pmod{n}$ , where  $(m, c) = 1$ , and  $u \mid m^k$  for some  $k$ . We have  $m^j \mid (uc)^2$ , hence  $m^j \mid u^2$ . Moreover, if we write  $u^2 = m^j v$ , then  $b \equiv vc^2 \pmod{m^{e-j}}$ , hence  $(m, v) = 1$ , i.e.,  $v = 1$  and  $m^j = u^2$ . Since  $m$  is not a perfect square, this implies  $j$  is even. However,  $b \equiv c^2 \pmod{m^{e-j}}$  contradicts the fact that  $b$  has a coprime nonsquare witness modulo  $m^{e-j}$ .  $\square$

Notice that ROOT is a generalization of FACROOT: a nonsquare witness for  $a$  modulo  $n$  is a nontrivial divisor of  $n$ , unless  $n$  is odd and  $(a|n) = -1$ .

**Theorem 3.16**  $\text{ROOT} \in \text{FP}^{\text{FACROOT}} \subseteq \text{PPA}$ .

*Proof:* Write  $n = 2^e m$  with  $m$  odd. In the first stage of our algorithm, we keep a sequence  $\langle n_i : i < k \rangle$  of integers  $n_i > 1$  such that  $m = \prod_{i < k} n_i$ , and a sequence of integers  $\langle u_i : i < k \rangle$  where some  $u_i$  may be undefined. We maintain the property that whenever  $u_i$  is defined, we can write  $a = n_i^{j_i} a_i$  for some  $j_i$  so that  $(a_i, n_i) = 1$ , and we have  $a_i \equiv u_i^2 \pmod{n_i}$ . We start with  $k = 1$ ,  $n_0 = m$  and  $u_0$  undefined, and we repeat the following steps until none of them are applicable any more:

- If  $n_i \neq n_j$  are such that  $(n_i, n_j) > 1$ , we delete  $n_i, n_j$  from the sequence and replace them with two copies of  $(n_i, n_j)$ ,  $n_i/(n_i, n_j)$ , and  $n_j/(n_i, n_j)$  as in the proof of Theorem 3.12.
- If  $n_i$  is a perfect square, we replace  $n_i$  with two copies of  $\sqrt{n_i}$ .
- If  $a = n_i^{j_i} a_i$  where  $n_i \nmid a_i$ , but  $(n_i, a_i) > 1$ , we replace  $n_i$  with  $(n_i, a_i)$  and  $n_i/(n_i, a_i)$ .
- If  $a = n_i^{j_i} a_i$  where  $(a_i|n_i) = 1$ , but  $u_i$  is undefined, we call a FACROOT oracle on  $n_i, a_i$ . If it returns a nontrivial divisor  $d \mid n_i$ , we replace  $n_i$  with  $d$  and  $n_i/d$ . Otherwise, it returns a square root of  $a_i$  modulo  $n_i$ , which we store as  $u_i$ .

This stage terminates after  $O(\|n\|)$  steps. When it does, we can write  $m = \prod_{i \in I} n_i^{e_i}$  for some  $e_i > 0$ ,  $I \subseteq \{0, \dots, k-1\}$ , where  $n_i, i \in I$ , are pairwise coprime, none of them is a perfect square, and we have  $a = n_i^{j_i} a_i$  for some  $j_i$  and  $(a_i, n_i) = 1$ . For each  $i$ , we try to compute a square root  $z_i$  of  $a$  modulo  $n_i^{e_i}$  as follows:

- If  $j_i \geq e_i$ , we put  $z_i = 0$ .
- If  $j_i < e_i$ , and  $j_i$  is odd or  $(a_i|n_i) = -1$ , we return  $n_i$  as a nonsquare witness for  $a$ .
- If  $j_i < e_i$  is even and  $(a_i|n_i) = 1$ , then  $u_i$  is defined, and  $u_i^2 \equiv a_i \pmod{n_i}$ . We put  $z_i = n_i^{j_i/2} v_i$ , where  $v_i^2 \equiv a_i \pmod{n_i^{e_i}}$  is computed using Hensel's lifting, which is an iteration of the following procedure: if we have  $u$  such that  $u^2 \equiv a_i \pmod{n_i^c}$ , we compute  $w \equiv (2u)^{-1} \pmod{n_i^c}$ , and we put  $u' = (u^2 + a_i)w$ . Then  $u'^2 \equiv a_i \pmod{n_i^{2c}}$ .

We also try to find a square root  $z$  of  $a$  modulo  $2^e$ . We write  $a = 2^j b$  with  $b$  odd, and then:

- If  $j \geq e$ , we put  $z = 0$ .

- If  $j < e$ , we return 2 as a nonsquare witness for  $a$  whenever one of the following cases happens:  $j$  is odd, or  $e - j \geq 2$  and  $b \equiv 3 \pmod{4}$ , or  $e - j \geq 3$  and  $b \equiv 5 \pmod{8}$ .
- Otherwise,  $j < e$  is even, and  $1^2 \equiv b \pmod{2^{\min\{e-j, 3\}}}$ . We put  $z = 2^{j/2}v$ , where  $v^2 \equiv b \pmod{2^{e-j}}$ ; if  $e-j > 3$ , we compute  $v$  using the following variant of Hensel's lifting. If we have  $u$  such that  $u^2 \equiv b \pmod{2^e}$ , we compute  $w \equiv u^{-1} \pmod{2^{e-2}}$ , and we put  $u' = ((u^2 + b)/2)w$ . Then  $u'^2 \equiv b \pmod{2^{2e-2}}$ .

Finally, using the Chinese remainder theorem, we compute  $x$  such that  $x \equiv z \pmod{2^e}$  and  $x \equiv z_i \pmod{2^{e_i}}$  for every  $i$ , then  $x^2 \equiv a \pmod{n}$ .  $\square$

## 4 FACROOT is in PPA

The purpose of this section is to prove Theorem 3.4. As already mentioned in the introduction, the original idea of the proof comes from previous work of the author on the provability of the quadratic reciprocity theorem in variants of bounded arithmetic, and in fact,  $\text{FACROOT} \in \text{PPA}$  is a simple corollary of these results. This connection is described in detail in Section 4.1. In order to make this paper more self-contained, we give a direct combinatorial proof of Theorem 3.4 in Section 4.2. Readers uncomfortable with bounded arithmetic may safely skip straight there.

### 4.1 Bounded arithmetic

We assume familiarity with basic facts about subsystems of bounded arithmetic, in particular Buss's theory  $S_2^1$ . We refer the reader to [8, 13] for more background.

Jeřábek [12] introduced a theory  $S_2^1 + \text{Count}_2(PV)$ , axiomatized over  $S_2^1$  by the following principle: for every number  $a$  and circuit  $C$ ,  $C$  does not define an involution on  $\{0, \dots, 2a\}$  without fixpoints. Notice that the axiom is  $\Sigma_1^b$ , and the corresponding search problem is a minor variant of LONELY.

**Lemma 4.1** *If  $S_2^1 + \text{Count}_2(PV) \vdash \forall x \exists y \varphi(x, y)$ , where  $\varphi \in \Sigma_1^b$ , then the search problem to find a  $y$  satisfying  $\varphi(x, y)$  given  $x$  is in PPA.*

*Proof:* By the assumption,  $S_2^1$  proves

$$\exists a, C \forall u \leq 2a (C(C(u)) = u \neq C(u) \leq 2a) \vee \exists y \varphi(x, y),$$

hence  $S_2^1(h)$  proves its Herbrandization

$$\exists a, C (h(a, C) \leq 2a \rightarrow C(C(h(a, C))) = h(a, C) \neq C(h(a, C)) \leq 2a) \vee \exists y \varphi(x, y).$$

This is an  $\exists \Sigma_1^b(h)$  formula, hence using Parikh's theorem and Buss's witnessing theorem, there exists a polynomial-time oracle function  $f^h$  such that

$$(*) \quad \exists a, C (h(a, C) \leq 2a \rightarrow C(C(h(a, C))) = h(a, C) \neq C(h(a, C)) \leq 2a) \vee \varphi(x, f^h(x))$$

holds in  $\mathbb{N}$  for any choice of  $h$ . Let us run  $f$  on an input  $x$  with an oracle solving the PPA-problem corresponding to  $Count_2$  in place of  $h$ , and let  $y$  be its output. We may assume that  $f$  never asks the same question more than once, hence the oracle answers in any particular run can be extended to a function  $h$  which satisfies

$$h(a, C) \leq 2a \wedge (C(C(h(a, C))) \neq h(a, C) \vee h(a, C) = C(h(a, C)) \vee C(h(a, C)) > 2a).$$

Then (\*) implies  $\varphi(x, y)$ . Thus, the search problem associated to  $\varphi$  is in  $\text{FP}^{\text{PPA}} = \text{PPA}$  using Theorem 2.1.  $\square$

Let  $J(a, n)$  denote a  $PV$ -function formalizing the algorithm in Figure 1. As shown in [12],  $S_2^1 + Count_2(PV)$  proves that  $J(a, n)$  agrees with the definition of the Jacobi symbol in terms of factorization of  $n$  and quadratic residues. In particular, the theory proves that for prime  $n$ ,  $J(a, n) = 1$  implies that  $a$  is a quadratic residue, which can be expressed as the following  $\Sigma_1^b$  formula:

**Theorem 4.2 (Jeřábek [12])**  $S_2^1 + Count_2(PV)$  proves

$$J(a, n) = 1 \rightarrow \exists x (x^2 \equiv a \pmod{n}) \vee \exists u, v < n (uv = n). \quad \square$$

Theorem 3.4 readily follows.

## 4.2 Explicit algorithm

Before turning to  $\text{FACROOT}$  proper, we will describe PPA algorithms for some of its special cases which we will need as ingredients in the main construction.

We introduce some notation for conciseness. If  $n$  is a fixed odd integer  $n > 1$ , we consider

$$N = \{x : |x| < n/2, (n, x) = 1\}$$

as a set of unique representatives of  $(\mathbb{Z}/n\mathbb{Z})^*$ . We also write  $N^+ = \{x \in N : x > 0\}$ ,  $N^- = \{x \in N : x < 0\}$ ,  $N_0 = N \cup \{0\}$ , and similarly for  $N_0^+$ ,  $N_0^-$ . We assume operations on residues are computed modulo  $n$  with a result in  $N$ , so that, e.g.,  $ab^{-1} \in N^+$  means that  $a \equiv bx \pmod{n}$  for some  $x \in N^+$ .

**Lemma 4.3** *There is a poly-time function  $f(n, a, x)$  such that for any odd  $n > 1$  and an integer  $a$  coprime to  $n$ , the function  $f_{n,a}(x) = f(n, a, x)$  defines an involution on*

$$\{x \in N^- : ax \in N^-\} \cup N_0^+$$

whose fixpoints are of the form  $x^{-1}$ , where

- (i)  $x \in N^+ \setminus \{1\}$  and  $x^2 = 1$ , or
- (ii)  $x \in N^-$  and  $x^2 = a$ .

*Proof:* We define  $f'_{n,a}$  on  $\{x \in N^- : ax \in N^-\} \cup N^+$  by

$$f'_{n,a}(x) = \begin{cases} x^{-1} & x, x^{-1} \in N^+, \\ a^{-1}x^{-1} & ax, x^{-1} \in N^-, \\ -x & (x, ax \in N^+ \wedge x^{-1} \in N^-) \vee (x, ax \in N^- \wedge x^{-1} \in N^+). \end{cases}$$

It is easy to see that the three conditions define a partition of  $\{x \in N^- : ax \in N^-\} \cup N^+$ , and  $f'_{n,a}$  is an involution on each part. The fixpoints of  $f'_{n,a}$  in the first two parts have the forms (i) (without the restriction  $x \neq 1$ ) and (ii), respectively, and there are no fixpoints in the third part. Finally, we put

$$f_{n,a}(x) = \begin{cases} 1 & x = 0, \\ 0 & x = 1, \\ f'_{n,a}(x) & x \neq 0, 1. \end{cases} \quad \square$$

**Definition 4.4** For any constant  $a$ , let  $\text{FACROOT}_a$  denote the following special case of  $\text{FACROOT}$ : given an odd positive  $n$  such that  $(a|n) = 1$ , find either a nontrivial divisor of  $n$ , or a square root of  $a$  modulo  $n$ .

**Lemma 4.5**  $\text{FACROOT}_{-1}$  and  $\text{FACROOT}_2$  are in PPA.

*Proof:* Given  $n \equiv \pm 1 \pmod{8}$ , observe that

$$\{x \in N^- : 2x \in N^-\} = N \cap [-(n-2 \pm 1)/4, -1].$$

We define an involution  $r$  on  $[-(n-2 \pm 1)/4, (n-1)/2]$  by

$$r(x) = \begin{cases} x & x \neq 0, (x, n) \neq 1, \\ f_{n,2}(x) & \text{otherwise.} \end{cases}$$

The domain of  $r$  is an interval of size  $(3n \pm 1)/4$ , which is odd, hence we can use  $\text{LONELY}$  to find a fixpoint  $x$  of  $r$ . Using Lemma 4.3, we see that either  $x^{-1}$  is a square root of 2, or it is a square root of 1 distinct from  $\pm 1$ , or  $(x, n) \neq 1$ . In the last two cases, we can factorize  $n$ .

For  $\text{FACROOT}_{-1}$ , we define similarly an involution on  $[0, (n-1)/2]$  using  $f_{n,-1}$ .  $\square$

Using a similar construction, it is possible to show  $\text{FACROOT}_a \in \text{PPA}$  for every constant  $a$ . We skip the details, as we will not directly need this fact, and Theorem 3.4 is more general. However, notice that  $\text{FACROOT}_{-1} \in \text{PPA}$  restates Buresh-Oppenheim's original result, and any constant  $a$  yields a similar special case of factoring:

**Example 4.6** The following search problems are in PPA.

Given  $n \equiv \pm 1 \pmod{8}$  such that 2 is a quadratic nonresidue modulo  $n$  (i.e.,  $n$  has a divisor  $p \equiv \pm 3 \pmod{8}$ ), find a nontrivial divisor of  $n$ .

Given  $n \equiv 1 \pmod{3}$  such that  $-3$  is a quadratic nonresidue modulo  $n$  (i.e.,  $n$  has a divisor  $p \equiv 2 \pmod{3}$ ), find a nontrivial divisor of  $n$ .



**Lemma 4.7** FACROOTMUL (and thus WEAKFACROOT) is in PPA.

*Proof:* Let  $n > 1$  be odd, and  $a, b$  coprime to  $n$ . Define

$$g(x) = \begin{cases} \langle 0, -x \rangle & x \in N_0^+, \\ \langle 1, -x \rangle & x, ax, b^{-1}x \in N^-, \\ \langle 2, -x \rangle & x, ax \in N^-, b^{-1}x \in N^+. \end{cases}$$

Then  $g$  is a poly-time bijection from  $\{x \in N^- : ax \in N^-\} \cup N_0^+$  onto

$$A = (\{0\} \times N_0^-) \cup (\{1\} \times \{x : x, ax, b^{-1}x \in N^+\}) \\ \cup (\{2\} \times \{x \in N^+ : ax \in N^+, b^{-1}x \in N^-\})$$

with a poly-time inverse. Similarly,

$$h(x) = \begin{cases} \langle 0, x \rangle & x \in N^+, \\ \langle 1, x \rangle & x = 0 \text{ or } x, b^{-1}x, ax \in N^-, \\ \langle 2, x \rangle & x, b^{-1}x \in N^-, ax \in N^+ \end{cases}$$

is a bijection from  $\{x \in N^- : b^{-1}x \in N^-\} \cup N_0^+$  onto

$$B = (\{0\} \times N^+) \cup (\{1\} \times (\{0\} \cup \{x : x, ax, b^{-1}x \in N^-\})) \\ \cup (\{2\} \times \{x \in N^- : ax \in N^+, b^{-1}x \in N^-\}),$$

$x \mapsto \langle 2, bx \rangle$  is a bijection from  $\{x \in N^- : abx \in N^-\} \cup N_0^+$  onto

$$C = \{2\} \times (\{0\} \cup \{x : ax \in N^- \vee b^{-1}x \in N^+\}),$$

and  $\langle 1, x \rangle \mapsto \langle 1, -x \rangle$  is a fixpoint-free involution on

$$D = \{1\} \times \{x \in N : x, ax, b^{-1}x \text{ do not have the same sign}\}.$$

We can thus define a poly-time involution  $r$  on  $\{0, 1, 2\} \times [-(n-1)/2, (n-1)/2]$  by

$$r(e, x) = \begin{cases} g(f_{n,a}(g^{-1}(e, x))) & \langle e, x \rangle \in A, \\ h(f_{n,b^{-1}}(h^{-1}(e, x))) & \langle e, x \rangle \in B, \\ \langle 2, bf_{n,ab}(b^{-1}x) \rangle & \langle e, x \rangle \in C, \\ \langle 1, -x \rangle & \langle e, x \rangle \in D, \\ \langle e, x \rangle & x \neq 0, (x, n) > 1. \end{cases}$$

Since  $3n$  is odd, we can use LONELY to find a fixpoint  $\langle e, x \rangle$  of  $r$ . We cannot have  $\langle e, x \rangle \in D$ . If  $x \neq 0$ ,  $(x, n) > 1$ , we can factor  $n$ . If  $\langle e, x \rangle \in A$ , then  $y := g^{-1}(e, x)$  is a fixpoint of  $f_{n,a}$ . Thus, either  $y^2 = 1$ ,  $y \neq \pm 1$ , in which case we can factor  $n$ , or  $y^{-1}$  is a square root of  $a$ . Similarly, if  $\langle e, x \rangle \in B \cup C$ , we can factor  $n$ , or compute a square root of  $b$  or  $ab$ .  $\square$

Lemma 4.7 is enough to prove our main result, Theorem 3.7. However, we will proceed with the proof of Theorem 3.4, as we are interested in the possibility of unconditional derandomization of the reduction of factoring to PPA, and placing FACROOT in PPA can be seen as a partial step towards that goal. Moreover, randomized versions of Theorems 3.12 and 3.16 would not be interesting.

**Lemma 4.8** *The following problems are in PPA.*

- (i) FACROOTODD: *given an odd  $n > 0$ , a sequence  $\langle a_i : i < k \rangle$  of integers coprime to  $n$  such that  $k$  is odd, and a square root  $x$  of  $\prod_{i < k} a_i$  modulo  $n$ , find a nontrivial divisor of  $n$ , or a square root of some  $a_i$  modulo  $n$ .*
- (ii) FACROOTEVEN: *given an odd  $n > 0$ , and a sequence  $\langle a_i : i < k \rangle$  of integers coprime to  $n$  such that  $k$  is even, find a nontrivial divisor of  $n$ , or a square root of  $\prod_{i < k} a_i$  or of some  $a_i$  modulo  $n$ .*

*Proof:* (i): Put  $I = \{0, \dots, k-1\}$  and  $y = 1$ , and repeat the following steps. If  $I = \{i\}$ , return  $xy^{-1}$  as a square root of  $a_i$ . If  $|I| > 1$ , pick  $i, j \in I$ ,  $i \neq j$ , and call FACROOTMUL on  $n, a_i, a_j$ . If it gives us a nontrivial divisor of  $n$ , or a square root of  $a_i$  or  $a_j$ , we return it. Otherwise, it provides a square root  $z$  of  $a_i a_j$ . We multiply  $y$  by  $z$ , remove  $i, j$  from  $I$ , and repeat the loop.

(ii): Put  $x = a_k = \prod_{i < k} a_i$ , and call FACROOTODD. □

**Definition 4.9** QUADREC is the following problem: given odd coprime  $n, m > 0$  such that  $n \equiv 1 \pmod{4}$ , and a square root  $a$  of  $n$  modulo  $m$ , find a nontrivial divisor of  $n$  or  $m$ , or a square root of  $m$  modulo  $n$ .

Notice that QUADREC is a special case of FACROOT: the input data ensure  $(n|m) = 1$ , hence  $(m|n) = 1$  by quadratic reciprocity.

**Lemma 4.10** QUADREC  $\in$  PPA.

*Proof:* We may assume  $n, m > 1$  and  $a \in M^-$ , so that  $b = a^{-1} \in M$  is a fixpoint of  $f_{m,n}$ . Put  $n_2 = (n+1)/2$ ,  $m_2 = (m+1)/2$ . The function

$$g(x) = \begin{cases} \langle x, m_2 \rangle & x \in N_0^+, \\ \langle -x, \lfloor -mx/n \rfloor \rangle & x, mx \in N^- \end{cases}$$

is a poly-time bijection with poly-time inverse from  $\{x \in N^- : mx \in N^-\} \cup N_0^+$  onto

$$A = (N_0^+ \times \{m_2\}) \cup \{\langle x, y \rangle \in N_0^+ \times [0, m_2) : mx - ny \in N^+\},$$

where  $mx - ny$  is *not* evaluated modulo  $n$ , but literally. Likewise,

$$h(y) = \begin{cases} \langle n_2, y \rangle & y \in M_0^+, \\ \langle \lfloor -ny/m \rfloor, -y \rangle & y, ny \in M^- \end{cases}$$

is a bijection from  $\{y \in M^- : ny \in M^-\} \cup M_0^+$  onto

$$B = (\{n_2\} \times M_0^+) \cup \{\langle x, y \rangle \in [0, n_2) \times M_0^+ : mx - ny \in M^-\}.$$

The function  $k(x, y) = \langle n_2 - 1 - x, m_2 - 1 - y \rangle$  is a poly-time involution with no fixpoints on

$$C = \{\langle x, y \rangle \in [0, n_2) \times [0, m_2) : mx - ny \geq n_2 \text{ or } mx - ny \leq -m_2\}.$$

We define a poly-time involution  $r$  on  $([0, n_2] \times [0, m_2]) \setminus \{\langle n_2, m_2 \rangle\}$  by

$$r(x, y) = \begin{cases} g(f_{n,m}(g^{-1}(x, y))) & \langle x, y \rangle \in A, \\ h(f_{m,n}(h^{-1}(x, y))) & \langle x, y \rangle \in B \setminus \{h(b)\}, \\ \langle 0, 0 \rangle & \langle x, y \rangle = h(b), \\ h(b) & x = y = 0, \\ k(x, y) & \langle x, y \rangle \in C, \\ \langle x, y \rangle & \text{otherwise.} \end{cases}$$

Notice that if  $x \in [0, n_2)$  and  $y \in [0, m_2)$  are such that  $mx - ny = 0$ , then  $x = y = 0$ , as  $(n, m) = 1$ . It follows that the last clause in the definition of  $r$  applies to elements of the set

$$D = (([1, n_2] \setminus N^+) \times \{m_2\}) \cup (\{n_2\} \times ([1, m_2] \setminus M^+)) \\ \cup \{\langle x, y \rangle \in [0, n_2) \times [0, m_2) : mx - ny \in ([1, n_2] \setminus N^+) \cup ((-m_2, -1] \setminus M^-)\}.$$

The domain of  $r$  has odd size  $(n_2 + 1)(m_2 + 1) - 1$ , hence using LONELY, we can find a fixpoint  $\langle x, y \rangle$  of  $r$ . If  $\langle x, y \rangle \in A$ , it gives us a square root of  $m$  modulo  $n$ , or a square root of 1 distinct from  $\pm 1$ , in which case we can factorize  $n$ . If  $\langle x, y \rangle \in B$ , we get a square root of  $n$  modulo  $m$  distinct from  $\pm a$ , or a square root of 1 distinct from  $\pm 1$ , and both cases give a factor of  $m$ . If  $\langle x, y \rangle \in D$ ,  $(n, x)$  or  $(m, y)$  is a nontrivial divisor of  $n$  or  $m$ , respectively.  $\square$

We are ready now to prove Theorem 3.4. Assume we are given an odd  $n > 0$ , and an integer  $a$  such that  $(a|n) = 1$ . We first compute the sequences  $\langle a_i : i \leq t \rangle$ ,  $\langle n_i : i \leq t \rangle$  of values of  $a$  and  $n$  during the execution of the algorithm in Figure 1. That is, we put  $\langle a_0, n_0 \rangle = \langle a, n \rangle$ , and then we define  $\langle a_i, n_i \rangle$  by induction on  $i$  as follows. If  $|a_i| > n_i/2$ , we let  $n_{i+1} = n_i$ , and  $a_{i+1} \equiv a_i \pmod{n_i}$  such that  $|a_{i+1}| < n_i/2$ . If  $0 < |a_i| < n_i/2$ , we define

$$\langle a_{i+1}, n_{i+1} \rangle = \begin{cases} \langle -a_i, n_i \rangle & a_i < 0, \\ \langle a_i/2, n_i \rangle & a_i > 0 \text{ is even,} \\ \langle n_i, a_i \rangle & a_i > 0 \text{ is odd.} \end{cases}$$

We stop when we reach  $a_t = 0$ . Since  $(a|n) = 1$ , we have  $(a_i, n_i) = 1$  for each  $i$ , in particular  $n_t = 1$ . Notice that  $t = O(\|n\|)$ . Write  $R = \{i < t : a_i \text{ is odd, } 0 < a_i < n_i/2\}$ .

In the main part of the algorithm, we maintain a double sequence  $\langle n_{i,j} : i \leq t, j < s_i \rangle$  of integers  $n_{i,j} > 1$  such that  $n_i = \prod_{j < s_i} n_{i,j}$ , and  $n_{i,j} \leq n_{i,j'}$  for  $j < j'$ . Moreover, we maintain sequences  $\langle u_{i,j} : i \leq k, j < s_i \rangle$ ,  $\langle v_{i,j,k}, w_{i,j,k} : i \in R, j < s_i, k < s_{i+1} \rangle$ , where some of the

$u_{i,j}$ ,  $v_{i,j,k}$ , and  $w_{i,j,k}$  may be undefined. Where they are defined, we have  $u_{i,j}^2 \equiv a_i (n_{i,j})$ ,  $v_{i,j,k}^2 \equiv n_{i+1,k} (n_{i,j})$ , and  $w_{i,j,k}^2 \equiv n_{i,j} (n_{i+1,k})$ , respectively.

We initialize the sequences with  $s_i = 1$ ,  $n_{i,0} = n_i$  for  $n_i > 1$ ,  $s_i = 0$  for  $n_i = 1$ , and all  $u_{i,j}$ ,  $v_{i,j,k}$ , and  $w_{i,j,k}$  undefined. We repeat in arbitrary order the following updating steps until none of them is applicable any more.

- Assume  $n_i = n_{i+1}$ ,  $n_{i,j} \neq n_{i+1,k}$ , and  $d = (n_{i,j}, n_{i+1,k}) > 1$ . If  $d \neq n_{i,j}$ , we increase  $s_i$ , replace  $n_{i,j}$  with  $d$  and  $n_{i,j}/d$ , and undefine all associated  $u_{i,j}$ ,  $v_{i-1,l,j}$ , and  $w_{i-1,l,j}$ . If  $d \neq n_{i+1,k}$ , we deal with it similarly. Notice that we cannot have  $n_{i,j} = d = n_{i+1,k}$ .

Moreover, if this step is not applicable, then  $n_i = n_{i+1}$  implies that  $s_i = s_{i+1}$  and  $\langle n_{i,j} : j < s_i \rangle$  and  $\langle n_{i+1,k} : k < s_{i+1} \rangle$  are permutations of each other, hence in view of their monotonicity, we have  $n_{i,j} = n_{i+1,j}$  for each  $j$ .

- For  $i < t$  such that  $\langle n_{i,j} : j < s_i \rangle = \langle n_{i+1,k} : k < s_{i+1} \rangle$  (which implies  $n_i = n_{i+1}$ ):
  - If  $a_i \equiv a_{i+1} (n_i)$ , and exactly one of  $u_{i,j}$ ,  $u_{i+1,j}$  is defined, we define the other to the same value.
  - If  $a_i = \alpha a_{i+1}$ ,  $\alpha \in \{-1, 2\}$ ,  $(\alpha | n_{i,j}) = -1$ , and neither  $u_{i,j}$  nor  $u_{i+1,j}$  is defined, we call  $\text{FACROOTMUL}(n_{i,j}, a_i, a_{i+1})$ . If it returns a nontrivial divisor of  $n_{i,j}$ , we expand the  $n_{i,j}$  sequence as in the first step. Otherwise, it gives a square root of  $a_i$  or  $a_{i+1}$  modulo  $n_{i,j}$ , which we store as  $u_{i,j}$  or  $u_{i+1,j}$ , respectively.
  - If  $a_i = \alpha a_{i+1}$ ,  $\alpha \in \{-1, 2\}$ ,  $(\alpha | n_{i,j}) = 1$ , and exactly one of  $u_{i,j}$  or  $u_{i+1,j}$  is defined, we call  $\text{FACROOT}_\alpha(n_{i,j})$ . If it returns a nontrivial divisor of  $n_{i,j}$ , we expand the  $n_{i,j}$  sequence. Otherwise, it gives  $\beta^2 \equiv \alpha (n_{i,j})$ , and we define  $u_{i,j} := \beta u_{i+1,j}$  or  $u_{i+1,j} := \beta^{-1} u_{i,j}$ , respectively.
- For  $i \in R$ :
  - If  $u_{i,j}$  is defined and  $|I|$  is odd, where  $I = \{k < s_{i+1} : v_{i,j,k} \text{ is undefined}\}$ , we put  $x = u_{i,j} \prod_{k \notin I} v_{i,j,k}^{-1}$ , and call  $\text{FACROOTODD}$  on  $n_{i,j}, \langle n_{i+1,k} : k \in I \rangle, x$ . If it returns a factor of  $n_{i,j}$ , we expand the  $n_{i,j}$  sequence. Otherwise, it returns a square root of some  $n_{i+1,k}$ ,  $k \in I$ , modulo  $n_{i,j}$ , which we store as  $v_{i,j,k}$ .
  - If  $u_{i,j}$  is undefined and  $|I|$  is even, where  $I$  is as above, we call  $\text{FACROOTEVEN}$  on  $n_{i,j}, \langle n_{i+1,k} : k \in I \rangle$ . If it returns a factor of  $n_{i,j}$ , we expand the  $n_{i,j}$  sequence. If it returns a square root of some  $n_{i+1,k}$ ,  $k \in I$ , modulo  $n_{i,j}$ , we store it as  $v_{i,j,k}$ . Otherwise, it returns a square root  $x$  of  $\prod_{k \in I} n_{i+1,k}$ , and then we define  $u_{i,j} = x \prod_{k \notin I} v_{i,j,k}$ .
  - If  $u_{i+1,k}$  is defined and  $|I|$  is odd, or  $u_{i+1,k}$  is undefined and  $|I|$  is even, where  $I = \{j < s_i : w_{i,j,k} \text{ is undefined}\}$ , we proceed in a similar way to expand the  $n_{i+1,k}$  sequence or to define some  $w_{i,j,k}$  or  $u_{i+1,k}$ .
  - If  $n_{i,j} \equiv -1 (4)$ ,  $(n_{i+1,k} | n_{i,j}) = 1$ , and  $v_{i,j,k}$  is undefined, we call  $\text{WEAKFACROOT}$  on  $n_{i,j}, n_{i+1,k}, -1$ . If it returns a factor of  $n_{i,j}$ , we expand the  $n_{i,j}$  sequence, otherwise it returns a square root of  $n_{i+1,k}$  modulo  $n_{i,j}$ , which we store as  $v_{i,j,k}$ .

- If  $n_{i+1,k} \equiv -1 \pmod{4}$ ,  $(n_{i,j}|n_{i+1,k}) = 1$ , and  $w_{i,j,k}$  is undefined, we proceed similarly.
- If  $n_{i,j} \equiv 1 \pmod{4}$ ,  $w_{i,j,k}$  is defined, and  $v_{i,j,k}$  is undefined, we call QUADREC on  $n_{i,j}, n_{i+1,k}, w_{i,j,k}$ . If it returns a factor of  $n_{i,j}$  or  $n_{i+1,k}$ , we expand the  $n_{i,j}$  or  $n_{i+1,k}$  sequence (respectively), otherwise it returns a square root of  $n_{i+1,k}$  modulo  $n_{i,j}$ , which we store as  $v_{i,j,k}$ .
- If  $n_{i+1,k} \equiv 1 \pmod{4}$ ,  $v_{i,j,k}$  is defined, and  $w_{i,j,k}$  is undefined, we proceed similarly.

In each step, either  $\sum_{i \leq t} s_i \leq O(\|n\|^2)$  strictly increases, or it stays the same, and we define some previously undefined  $u_{i,j}$ ,  $v_{i,j,k}$ , or  $w_{i,j,k}$ . It follows that the update procedure stops after  $\|n\|^{O(1)}$  steps.

Let us write  $[a_i|n_{i,j}] = 1$  if  $u_{i,j}$  is defined, and  $[a_i|n_{i,j}] = -1$  otherwise. We define  $[n_{i+1,k}|n_{i,j}]$  and  $[n_{i,j}|n_{i+1,k}]$  similarly using  $v_{i,j,k}$  and  $w_{i,j,k}$ , respectively. Notice that  $[a_i|n_{i,j}] = 1$  implies  $(a_i|n_{i,j}) = 1$ , and likewise for  $[n_{i+1,k}|n_{i,j}]$ ,  $[n_{i,j}|n_{i+1,k}]$ .

**Lemma 4.11** *When the update procedure stops, the following properties hold.*

- (i) *If  $n_i = n_{i+1}$ , then  $s_i = s_{i+1}$  and  $n_{i,j} = n_{i+1,j}$ .*
- (ii) *If  $n_i = n_{i+1}$  and  $a_i \equiv a_{i+1} \pmod{4}$ , then  $[a_i|n_{i,j}] = [a_{i+1}|n_{i+1,j}]$ .*
- (iii) *If  $n_i = n_{i+1}$  and  $a_i = \alpha a_{i+1}$ ,  $\alpha \in \{-1, 2\}$ , then*

$$\left[ \frac{a_{i+1}}{n_{i+1,j}} \right] = \left( \frac{\alpha}{n_{i,j}} \right) \left[ \frac{a_i}{n_{i,j}} \right].$$

- (iv) *If  $i \in R$ , then*

$$\left[ \frac{a_i}{n_{i,j}} \right] = \prod_{k < s_{i+1}} \left[ \frac{n_{i+1,k}}{n_{i,j}} \right], \quad \left[ \frac{a_{i+1}}{n_{i+1,k}} \right] = \prod_{j < s_i} \left[ \frac{n_{i,j}}{n_{i+1,k}} \right].$$

- (v) *If  $i \in R$  and  $n_{i,j} \equiv n_{i+1,k} \equiv -1 \pmod{4}$ , then*

$$\left[ \frac{n_{i+1,k}}{n_{i,j}} \right] \left[ \frac{n_{i,j}}{n_{i+1,k}} \right] = -1.$$

- (vi) *If  $i \in R$  and  $n_{i,j} \equiv 1 \pmod{4}$  or  $n_{i+1,k} \equiv 1 \pmod{4}$ , then*

$$\left[ \frac{n_{i+1,k}}{n_{i,j}} \right] \left[ \frac{n_{i,j}}{n_{i+1,k}} \right] = 1.$$

*Proof:* (i), (ii), and (iv) are clear.

(iii): The statement is clear if  $(\alpha|n_{i,j}) = 1$ . If  $(\alpha|n_{i,j}) = -1$ , the inapplicability of update steps implies that  $[a_i|n_{i,j}] = 1$  or  $[a_{i+1}|n_{i+1,j}] = 1$ . We cannot have both, since this would imply  $(a_i|n_{i,j}) = (a_{i+1}|n_{i+1,j}) = 1$ , hence  $(\alpha|n_{i,j}) = 1$ .

(v): By quadratic reciprocity, exactly one of  $(n_{i+1,k}|n_{i,j}) = 1$ ,  $(n_{i,j}|n_{i+1,k}) = 1$  holds. The inapplicability of update steps then implies that  $[n_{i+1,k}|n_{i,j}] = 1$  or  $[n_{i,j}|n_{i+1,k}] = 1$ . We cannot have both, as this would mean  $(n_{i+1,k}|n_{i,j}) = (n_{i,j}|n_{i+1,k}) = 1$ .

(vi): The statement is clear if  $n_{i,j} \equiv n_{i+1,k} \equiv 1 \pmod{4}$ . Assume  $n_{i,j} \equiv 1 \pmod{4}$  and  $n_{i+1,k} \equiv -1 \pmod{4}$ , the other case is symmetric. By the inapplicability of update steps,  $[n_{i,j}|n_{i+1,k}] = 1$  implies  $[n_{i+1,k}|n_{i,j}] = 1$ . On the other hand, if  $[n_{i+1,k}|n_{i,j}] = 1$ , then  $(n_{i+1,k}|n_{i,j}) = 1$ , hence  $(n_{i,j}|n_{i+1,k}) = 1$  by quadratic reciprocity, thus  $[n_{i,j}|n_{i+1,k}] = 1$  by the inapplicability of update steps.  $\square$

Using Lemma 4.11, we can show

$$\prod_{j < s_i} \left[ \frac{a_i}{n_{i,j}} \right] = \left( \frac{a_i}{n_i} \right)$$

by reverse induction on  $i$ . The induction step for  $i \in R$  goes as follows:

$$\begin{aligned} \prod_{j < s_i} \left[ \frac{a_i}{n_{i,j}} \right] &= \prod_{\substack{j < s_i \\ k < s_{i+1}}} \left[ \frac{n_{i+1,k}}{n_{i,j}} \right] \\ &= \prod_{\substack{j < s_i \\ k < s_{i+1}}} \left[ \frac{n_{i,j}}{n_{i+1,k}} \right] (-1)^{(n_{i,j}-1)(n_{i+1,k}-1)/4} \\ &= (-1)^{(a_{i+1}-1)(n_{i+1}-1)/4} \prod_{k < s_{i+1}} \left[ \frac{a_{i+1}}{n_{i+1,k}} \right] \\ &= (-1)^{(a_{i+1}-1)(n_{i+1}-1)/4} \left( \frac{a_{i+1}}{n_{i+1}} \right) \\ &= \left( \frac{n_{i+1}}{a_{i+1}} \right) = \left( \frac{a_i}{n_i} \right). \end{aligned}$$

In particular, either  $s_0 > 1$ , in which case  $n_{0,0}$  is a nontrivial divisor of  $n$ , or  $s_0 = 1$  and  $[a_0|n_{0,0}] = 1$ , where  $a_0 = a$  and  $n_{0,0} = n$ , in which case  $u_{0,0}^2 \equiv a \pmod{n}$ . This completes the proof of Theorem 3.4.

## 5 Conclusion

We have shown that integer factoring has randomized reductions to the classes PPA and PPP (more precisely, PWPP). We also provided evidence that there in fact exist deterministic reductions, namely this is true under the widely believed assumption of the generalized Riemann hypothesis for quadratic Dirichlet characters.

**Problem 5.1** *Is FACTORING in PPA, PPP, or  $\text{FP}^{\text{PPP}}$ ?*

Some of our other results can be seen as partial indication that such an unconditional deterministic reduction might be possible at least in the case of PPA. In particular, the fact that  $\text{FACROOT} \in \text{PPA}$  bypasses the randomized reduction of  $\text{WEAKFACROOT}$  to  $\text{FACROOT}$ , and we have shown that PPA contains the search problems to find square roots modulo arbitrary integers (which is probabilistically Turing-equivalent to factoring) and to find quadratic non-residues (which is easily solvable in randomized polynomial time). Nevertheless, it remains open whether Problem 5.1 can be resolved unconditionally.

Another interesting question is whether the methods used for the reduction of factoring to PPA can be pushed down to the class  $\text{PPAD} \subseteq \text{PPA}$ . Note that many natural problems are known to be complete for PPAD, such as computing Nash equilibria [10].

**Problem 5.2** *Does FACTORING have some form of reduction to PPAD?*

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