

The hat construction, derived categories of the second kind, and Koszul duality

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HART Seminar/Hybrid seminar on derived Koszul duality, Thessaloniki

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[Getzler–Jones '90, L.P. '93]

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A CDG-ring $B^\bullet = (B, d, h)$ is naturally neither a left, nor a right CDG-module over itself (because the formulas for the square of the differential do not match). But B^\bullet has a natural structure of CDG-bimodule over itself.

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- matrix factorizations, which are the CDG-modules over the $\mathbb{Z}/2$ -graded CDG-ring $(B = B^0, d = 0, h = w)$, where B^0 is an associative ring and $w \in B^0$ is a central element (“the potential”).

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The embedding functor $\text{DG-rings} \longrightarrow \text{CDG-rings}$ is faithful but not fully faithful: nonisomorphic DG-rings may be isomorphic as CDG-rings.

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The embedding functor DG-rings \longrightarrow CDG-rings is faithful but not fully faithful: nonisomorphic DG-rings may be isomorphic as CDG-rings.

The construction of the DG-category of DG-modules over a DG-ring extends to CDG-rings

Curved DG-structures

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The construction of the DG-category of DG-modules over a DG-ring extends to CDG-rings: CDG-modules over a CDG-ring form a DG-category. (In particular, the DG-categories of DG-modules over CDG-isomorphic DG-rings are isomorphic.)

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The hat construction

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- $h_B = \delta^2 = \delta \cdot \delta \in \ker(\partial_R)^{-2} = B^2$.

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- $h_B = \delta^2 = \delta \cdot \delta \in \ker(\partial_R)^{-2} = B^2$.

This construction produces the inverse functor
DG-rings^{ac} \longrightarrow CDG-rings.

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In this sense, acyclic DG-rings (acyclic DG-coalgebras etc.) are more invariant objects. But they are also much more counterintuitive, making them harder to work with.

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A left CDG-module $M^\bullet = (M, d_M)$ over B^\bullet is the same thing as a graded left $B[\delta]$ -module. The element δ acts in M by the differential d_M . Notice that there is **no** differential on M compatible with the differential ∂ on $B[\delta]$.

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Cf. Section 11.7.1 in the book “Homological algebra of semimodules and semicontramodules” (Birkhäuser, 2010), which is written in a more complicated setting of quasi-differential corings.

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The DG-functor $B^\bullet\text{-Mod}^{\text{cdg}} \longrightarrow \widehat{B}^\bullet\text{-Mod}^{\text{dg}}$ is constructed as follows. Given a left CDG-module $M^\bullet = (M, d_M)$ over B^\bullet , the underlying graded abelian group of the related DG-module $E^\bullet = (E, D_E)$ over \widehat{B}^\bullet is the direct sum $E = M \oplus M[-1]$. So the elements of the abelian group E^i ($i \in \mathbb{Z}$) are pairs (m', m'') with $m' \in M^i$ and $m'' \in M^{i-1}$.

The actions of B , δ , ϵ , and D_E on E are given by the rules

- $b(m', m'') = (bm', (-1)^{|b|}bm'')$ for $b \in B$;
- $\delta(m', m'') = (d_M(m'), m' - d_M(m''))$;
- $\epsilon(m', m'') = (m'', 0)$;
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Let \mathbf{A} be an abelian DG-category. To any short exact sequence $0 \rightarrow K \rightarrow L \rightarrow M \rightarrow 0$ in the abelian category $Z^0(\mathbf{A})$ one can assign its totalization $\text{Tot}(K \rightarrow L \rightarrow M)$, which is an object of \mathbf{A} . This object can be constructed as an iterated cone, $\text{Tot}(K \rightarrow L \rightarrow M) = \text{cone}(\text{cone}(K \rightarrow L) \rightarrow M)$.

The full subcategory of **absolutely acyclic** objects $\mathcal{A}c^{\text{abs}}(\mathbf{A}) \subset H^0(\mathbf{A})$ is defined as the thick subcategory of $H^0(\mathbf{A})$ generated by the totalizations of short exact sequences in $Z^0(\mathbf{A})$. Equivalently, viewed as a full subcategory in $Z^0(\mathbf{A})$

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of $H^0(\mathbf{A})$ by the respective thick subcategories of acyclic objects.

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$D^{\text{ctr}}(A^\bullet\text{-Mod}^{\text{dg}}) \simeq D^{\text{ctr}}(\widehat{\widehat{A}}^\bullet\text{-Mod}^{\text{dg}})$. Thus it always suffices to consider derived categories of the second kind for **acyclic** DG-rings!

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Indeed, A^\bullet is an arbitrary DG-ring and \widehat{A}^\bullet is an acyclic one; still their DG-categories of DG-modules are equivalent.

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Knowing the DG-category of DG-modules $A^\bullet\text{-Mod}^{\text{dg}}$ as an abstract DG-category is **not enough** to construct the conventional derived category of DG-modules.

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In order to construct the derived category of DG-modules $D(A^\bullet\text{-Mod})$, one needs to know the cohomology functor H^* on the homotopy category of DG-modules $H^0(A^\bullet\text{-Mod}^{\text{dg}})$. Or one needs to know the object $A^\bullet \in A^\bullet\text{-Mod}^{\text{dg}}$, the free DG-module with one generator (which corepresents the cohomology functor on the homotopy category).

Knowing the DG-category of DG-modules $A^\bullet\text{-Mod}^{\text{dg}}$ as an abstract DG-category is **not enough** to construct the conventional derived category of DG-modules.

But knowing the DG-category of CDG-modules $B^\bullet\text{-Mod}^{\text{cdg}}$ as an abstract DG-category is **enough** to construct the absolute derived, coderived, and contraderived categories of CDG-modules.

Coalgebras, Comodules, and Contramodules

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A **left \mathcal{C} -comodule** \mathcal{M} is a k -vector space endowed with a k -linear map of **left coaction** $\nu: \mathcal{M} \longrightarrow \mathcal{C} \otimes_k \mathcal{M}$ satisfying the coassociativity and counitality axioms.

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Graded Coalgebras, Comodules, and Contramodules

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Similarly, left CDG-contramodules $\mathfrak{P}^\bullet = (\mathfrak{P}, d_{\mathfrak{P}})$ over \mathcal{C}^\bullet form an abelian DG-category $\mathcal{C}^\bullet\text{-Contra}^{\text{cdg}}$.

CDG-comodules and CDG-contramodules

Let $\mathcal{C}^\bullet = (\mathcal{C}, d, h)$ be a CDG-coalgebra over a field k . Then left CDG-comodules $\mathcal{M}^\bullet = (\mathcal{M}, d_{\mathcal{M}})$ over \mathcal{C}^\bullet form an abelian DG-category $\mathcal{C}^\bullet\text{-Comod}^{\text{cdg}}$. Hence one can construct the absolute derived category $D^{\text{abs}}(\mathcal{C}^\bullet\text{-Comod}^{\text{cdg}})$ and the coderived category $D^{\text{co}}(\mathcal{C}^\bullet\text{-Comod}^{\text{cdg}})$.

The infinite product functors exist in the abelian category of CDG-comodules $Z^0(\mathcal{C}^\bullet\text{-Comod}^{\text{cdg}})$, but they are not exact. For this reason, the contraderived category of comodules is not well-behaved and usually not considered.

Similarly, left CDG-contramodules $\mathfrak{P}^\bullet = (\mathfrak{P}, d_{\mathfrak{P}})$ over \mathcal{C}^\bullet form an abelian DG-category $\mathcal{C}^\bullet\text{-Contra}^{\text{cdg}}$. Hence one can construct the absolute derived category $D^{\text{abs}}(\mathcal{C}^\bullet\text{-Contra}^{\text{cdg}})$ and the contraderived category $D^{\text{ctr}}(\mathcal{C}^\bullet\text{-Contra}^{\text{cdg}})$.

CDG-comodules and CDG-contramodules

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*The pair of mutually inverse equivalences is provided by the derived functors of \mathcal{C} -comodule homomorphisms $\mathbb{R}\text{Hom}_{\mathcal{C}}(\mathcal{C}, -)$ and the so-called **contratensor product** $\mathcal{C} \circlearrowleft_{\mathcal{C}} -$.*

Bar construction

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In order to construct the CDG-coalgebra structure on $\text{Bar}(A)$, one has to make an arbitrary choice of a complementary graded subspace V to the vector subspace spanned by the unit element $1 \in A^0$. Equivalently, one has to choose a homogeneous k -linear retraction $v: A \rightarrow k$ of A onto the subspace $k = k \cdot 1 \subset A$.

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Given a graded vector subspace $V \subset A$ such that $A = k \cdot 1 \oplus V$

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Given a graded vector subspace $V \subset A$ such that $A = k \cdot 1 \oplus V$, one considers the related components $m_V: A \otimes_k A \rightarrow V$ and $m_k: A \otimes_k A \rightarrow k$ of the multiplication map $m: A \otimes_k A \rightarrow A$

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The maps m_V and d_V are used in the construction of the differential d_{Bar} on the tensor coalgebra $\text{Bar}(A)$. The maps m_k and d_k are used in the construction of the curvature linear function $h_{\text{Bar}}: \text{Bar}(A)^{-2} \rightarrow k$.

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Replacing the retraction $v: A \rightarrow k$ by another retraction $v': A \rightarrow k$

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Given a graded vector subspace $V \subset A$ such that $A = k \cdot 1 \oplus V$, one considers the related components $m_V: A \otimes_k A \rightarrow V$ and $m_k: A \otimes_k A \rightarrow k$ of the multiplication map $m: A \otimes_k A \rightarrow A$, as well as the related components $d_V: A \rightarrow V$ and $d_k: A \rightarrow k$ of the differential $d_A: A \rightarrow A$.

The maps m_V and d_V are used in the construction of the differential d_{Bar} on the tensor coalgebra $\text{Bar}(A)$. The maps m_k and d_k are used in the construction of the curvature linear function $h_{\text{Bar}}: \text{Bar}(A)^{-2} \rightarrow k$. The resulting CDG-coalgebra is denoted by $\text{Bar}_v^\bullet(A^\bullet) = (\text{Bar}(A), d_{\text{Bar}}, h_{\text{Bar}})$.

Replacing the retraction $v: A \rightarrow k$ by another retraction $v': A \rightarrow k$, one constructs another CDG-coalgebra structure $\text{Bar}_{v'}^\bullet(A^\bullet)$ on the same graded coalgebra $\text{Bar}(A)$.

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Given a graded vector subspace $V \subset A$ such that $A = k \cdot 1 \oplus V$, one considers the related components $m_V: A \otimes_k A \rightarrow V$ and $m_k: A \otimes_k A \rightarrow k$ of the multiplication map $m: A \otimes_k A \rightarrow A$, as well as the related components $d_V: A \rightarrow V$ and $d_k: A \rightarrow k$ of the differential $d_A: A \rightarrow A$.

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Replacing the retraction $v: A \rightarrow k$ by another retraction $v': A \rightarrow k$, one constructs another CDG-coalgebra structure $\text{Bar}_{v'}^{\bullet}(A^{\bullet})$ on the same graded coalgebra $\text{Bar}(A)$. The difference of the two retractions $v' - v$ is a linear map $A/(k \cdot 1) \rightarrow k$

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Given a graded vector subspace $V \subset A$ such that $A = k \cdot 1 \oplus V$, one considers the related components $m_V: A \otimes_k A \rightarrow V$ and $m_k: A \otimes_k A \rightarrow k$ of the multiplication map $m: A \otimes_k A \rightarrow A$, as well as the related components $d_V: A \rightarrow V$ and $d_k: A \rightarrow k$ of the differential $d_A: A \rightarrow A$.

The maps m_V and d_V are used in the construction of the differential d_{Bar} on the tensor coalgebra $\text{Bar}(A)$. The maps m_k and d_k are used in the construction of the curvature linear function $h_{\text{Bar}}: \text{Bar}(A)^{-2} \rightarrow k$. The resulting CDG-coalgebra is denoted by $\text{Bar}_{\check{\nu}}^{\bullet}(A^{\bullet}) = (\text{Bar}(A), d_{\text{Bar}}, h_{\text{Bar}})$.

Replacing the retraction $\nu: A \rightarrow k$ by another retraction $\nu': A \rightarrow k$, one constructs another CDG-coalgebra structure $\text{Bar}_{\check{\nu}'}^{\bullet}(A^{\bullet})$ on the same graded coalgebra $\text{Bar}(A)$. The difference of the two retractions $\nu' - \nu$ is a linear map $A/(k \cdot 1) \rightarrow k$, which can be used to construct a change-of-connection linear function $b: \text{Bar}(A)^{-1} \rightarrow k$

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Given a graded vector subspace $V \subset A$ such that $A = k \cdot 1 \oplus V$, one considers the related components $m_V: A \otimes_k A \rightarrow V$ and $m_k: A \otimes_k A \rightarrow k$ of the multiplication map $m: A \otimes_k A \rightarrow A$, as well as the related components $d_V: A \rightarrow V$ and $d_k: A \rightarrow k$ of the differential $d_A: A \rightarrow A$.

The maps m_V and d_V are used in the construction of the differential d_{Bar} on the tensor coalgebra $\text{Bar}(A)$. The maps m_k and d_k are used in the construction of the curvature linear function $h_{\text{Bar}}: \text{Bar}(A)^{-2} \rightarrow k$. The resulting CDG-coalgebra is denoted by $\text{Bar}_v^\bullet(A^\bullet) = (\text{Bar}(A), d_{\text{Bar}}, h_{\text{Bar}})$.

Replacing the retraction $v: A \rightarrow k$ by another retraction $v': A \rightarrow k$, one constructs another CDG-coalgebra structure $\text{Bar}_{v'}^\bullet(A^\bullet)$ on the same graded coalgebra $\text{Bar}(A)$. The difference of the two retractions $v' - v$ is a linear map $A/(k \cdot 1) \rightarrow k$, which can be used to construct a change-of-connection linear function $b: \text{Bar}(A)^{-1} \rightarrow k$ providing a natural change-of-connection isomorphism of CDG-coalgebras $\text{Bar}_v^\bullet(A^\bullet) \simeq \text{Bar}_{v'}^\bullet(A^\bullet)$.

Cobar construction

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A **coaugmentation** of a CDG-coalgebra $\mathcal{C}^\bullet = (\mathcal{C}, d, h)$ is a morphism of CDG-coalgebras $(\gamma, 0): (k, 0, 0) \rightarrow (\mathcal{C}, d, h)$. A coaugmented CDG-coalgebra $(\mathcal{C}^\bullet, \gamma)$ is called **conilpotent** if the graded coalgebra \mathcal{C} with the coaugmentation γ is conilpotent.

Under Koszul duality, lack of a chosen (co)augmentation on one side corresponds to a nonzero curvature on the other side. In particular, given a coaugmented CDG-coalgebra $(\mathcal{C}^\bullet, \gamma)$, one can use γ in the role of the section w , i. e., put $w = \gamma$. Then the cobar CDG-algebra $\text{Cob}_w^\bullet(\mathcal{C}^\bullet) = (\text{Cob}(\mathcal{C}), d_{\text{Cob}}, h_{\text{Cob}})$ is actually a DG-algebra

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Koszul duality between DG-algebras and CDG-coalgebras

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between the category of nonzero DG-algebras over k with quasi-isomorphisms inverted and the category of conilpotent CDG-coalgebras over k with filtered quasi-isomorphisms inverted.

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There is a commutative diagram of triangulated equivalences

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The vertical equivalence is the derived co-contra correspondence.

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The bar construction of a DG-algebra A^\bullet is the tensor coalgebra of $A^\bullet/(k \cdot 1)$, which is pretty big. Under suitable [Koszulity](#) assumptions, one can construct a smaller CDG-coalgebra \mathcal{C}^\bullet connected with $\text{Bar}_V^\bullet(A^\bullet)$ by a chain of filtered quasi-isomorphisms. Let me elaborate on this approach in a context both more and less general than the above one.

Let $A = k \oplus A_1 \oplus A_2 \oplus A_3 \oplus \dots$ be a positively graded associative algebra. (“Positively graded” means nonnegatively graded with $A_0 = k$.) The algebra A is called [Koszul](#) if $\text{Tor}_{ij}^A(k, k) = 0$ for all $i \neq j$. Here the first grading i is the usual homological grading on the Tor, while the second grading j is the [internal](#) grading induced by the grading of A .

In particular, all Koszul algebras are [quadratic](#), i. e., generated by A_1 with relations in degree 2. A quadratic algebra has the form $A = T(V)/(I)$, where V is a vector space, $T(V) = \bigoplus_{n=0}^{\infty} V^{\otimes n}$ is the tensor algebra of V , while $I \subset V \otimes V$ is the space of relations of degree 2 and (I) is the ideal generated by I in $T(V)$.

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A nonhomogeneous Koszul algebra \tilde{A} over a field k

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The standard approach to constructing the equivalence of categories in the theorem is to write down explicit formulas connecting the linear and scalar components of the nonhomogeneous quadratic relations defining \tilde{A}

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Given a Lie algebra structure on V , it is easy to construct the related DG-coalgebra structure on $\wedge(V)$ (called the **standard homological complex** of the Lie algebra V). Then the theorem claims that the corresponding filtered algebra \tilde{A} (the **enveloping algebra** of V) is of the correct size.

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The standard approach to constructing the equivalence of categories in the theorem is to write down explicit formulas connecting the linear and scalar components of the nonhomogeneous quadratic relations defining \tilde{A} with the differential d and the curvature linear function h on \mathcal{C} . A more high-tech approach uses the hat construction for coalgebras.

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Then it remains to consider the ideal $(t - 1)$ generated by the nonhomogeneous element $t - 1 \in L$, and put $\widetilde{A} = L/(t - 1)$.

For example, any nonzero k -algebra \widetilde{A} can be endowed with the trivial filtration defined by the rules

- $F_{-1}\widetilde{A} = 0$, $F_0\widetilde{A} = k \cdot 1$,
- $F_n\widetilde{A} = \widetilde{A}$ for $n \geq 1$.

Then (\widetilde{A}, F) is a nonhomogeneous Koszul algebra.

The related CDG-coalgebra $\mathcal{C}^\bullet = (\mathcal{C}, d, h)$ is the bar construction of \widetilde{A} , i. e., $\mathcal{C}^\bullet = \text{Bar}_V(\widetilde{A})$.

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Let $A = \bigoplus_{n=0}^{\infty} A_n$ be a nonnegatively graded ring with the degree 0 component $R = A_0$. We will say that A is **left flat Koszul** if A is a flat left R -module and $\mathrm{Tor}_{ij}^A(R, R) = 0$ for all $i \neq j$.

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Reference: L. Positselski, “Relative Nonhomogeneous Koszul duality”, *Frontiers in Math.*, Birkhäuser, 2021.

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Let K be an associative ring and $K \rightarrow B^0$ be a ring homomorphism. A **graded left B -contramodule** P is a graded K -module endowed with a morphism of graded K -modules

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for every $n \in \mathbb{Z}$, satisfying natural (contra)associativity and (contra)unitality equations. So left B -contramodules are left B -modules with infinite summation operations.

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for every $n \in \mathbb{Z}$, satisfying natural (contra)associativity and (contra)unitality equations. So left B -contramodules are left B -modules with infinite summation operations. The category of graded left B -contramodules $B\text{-Contra}$ is abelian

Comodules and contramodules over graded rings

Let $B = \bigoplus_{n=0}^{\infty} B^n$ be a nonnegatively graded ring. A graded right B -module N is said to be a **B -comodule** if for every (homogeneous) element $x \in N$ there exists an integer $m \geq 0$ such that $xB^{>m} = 0$. The category of graded right B -comodules $\text{Comod-}B$ is abelian.

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So \mathcal{C} is a graded subcoring of the tensor coring $\bigoplus_{n=0}^{\infty} A_1^{\otimes_R n}$.

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The nonhomogeneous quadratic dual (C)DG-ring to (\tilde{A}, F) is $B^\bullet = (B, d) = (\Omega(M), d_{dR})$. Our philosophy suggests that B^\bullet “really wants to be a coring”. This means the coring of polyvector fields $\mathcal{C} = \bigwedge(TM)$ over the ring of functions $R = O(M)$.

To implement this point of view, one needs some structure on the coring of polyvector fields $\mathcal{C} = \text{Hom}_R(B, R)$ corresponding to the de Rham differential on the ring of differential forms B . But the de Rham differential is not R -linear, so the functor $\text{Hom}_R(-, R)$ cannot be applied to it.

The solution is to apply the hat construction first, producing an acyclic DG-ring $\hat{B}^\bullet = (\hat{B}, \partial)$ from the de Rham DG-ring $B^\bullet = (B, d)$. The new, acyclic differential ∂ is $O(M)$ -linear

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