

## Ricci identities in higher dimensions

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### Abstract

We explore connections between geometrical properties of null congruences and the algebraic structure of the Weyl tensor in  $n > 4$  spacetime dimensions. First, we present the full set of Ricci identities on a suitable ‘null’ frame, thus completing the extension of the Newman–Penrose formalism to higher dimensions. Then we specialize to geodesic null congruences and study specific consequences of the Sachs equations. These imply, for example, that Kundt spacetimes are of type II or more special (like for  $n = 4$ ) and that for odd  $n$  a twisting geodesic WAND must also be shearing (in contrast to the case  $n = 4$ ).

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### 1. Introduction

Thanks to the correspondence between geometrical properties of null geodesics and optical properties of the gravitational field, the study of ray optics (see, e.g., [1, 2] and references therein) has played a major role in the construction and classification of exact solutions of Einstein’s equations in  $n = 4$  dimensions. In this context, a fundamental connection between geometric optics and the algebraic structure of the Weyl tensor is provided by the Goldberg–Sachs theorem [3, 4], which states that a vacuum metric is algebraically special if and only if it contains a shearfree geodesic null congruence (cf [1, 2] for related results and generalizations).

In recent years, possible extensions of the above concepts to arbitrary dimensions  $n > 4$  have been investigated. The classification of the Weyl tensor has been presented in [5, 6], and aspects of geometric optics have been studied in [7–10]. In particular, a partial extension of the Goldberg–Sachs theorem to  $n > 4$  has been proven in [8] by considering various contractions of the Bianchi identities. One of the results was that the multiple<sup>3</sup> WAND (Weyl aligned null direction) of a type III or N vacuum spacetime is necessarily geodesic. Nevertheless, possible ‘violations’ of the Goldberg–Sachs theorem in  $n > 4$  have been also pointed out. For example,

<sup>3</sup> ‘Multiple’ WANDs are those WANDs whose order of alignment [5, 6] with the Weyl tensor is greater than zero.

the principal null directions of  $n = 5$  rotating black holes (which are of type D) are geodetic but shearing [7, 8]. Furthermore, in  $n > 4$  vacuum spacetimes of type III or N, a multiple principal null direction with expansion necessarily has also non-zero shear [8].

This contribution makes further progress in the study of optical properties of the gravitational field in higher dimensions. After summarizing our notation and presenting a few preliminary results (section 2), we derive the full set of frame Ricci identities in  $n \geq 4$  (section 3). This is a natural complement to the frame Bianchi identities given in [8], and together with the expressions for commutators given in section 1.2 of [11] it generalizes the Newman–Penrose formalism [1, 2, 4] for any  $n > 4$ . Consequently, one can explore which of the four-dimensional applications of this formalism can be extended to higher dimensions. Here, we focus on some of the consequences of the Ricci identities, such as an analogue of the Sachs equations [1, 2, 12] for geodetic null congruences and their implications (section 4).

## 2. Null frames and Ricci rotation coefficients

In an  $n$ -dimensional spacetime we can set up a frame of  $n$  real vectors  $\mathbf{m}^{(a)}$  which consists of two null vectors  $\mathbf{m}^{(0)} = \mathbf{n}$ ,  $\mathbf{m}^{(1)} = \boldsymbol{\ell}$  and  $n - 2$  orthonormal spacelike vectors  $\mathbf{m}^{(i)}$  [8]. From now on,  $a, b \dots = 0, \dots, n - 1$  while  $i, j \dots = 2, \dots, n - 1$ . We will observe Einstein's summation convention for both types of indices. For indices  $i, j, \dots$  there is no difference between covariant and contravariant components and thus we will not distinguish between subscripts and superscripts. The metric reads  $g_{ab} = 2\ell_{(a}n_{b)} + \delta_{ij}m_a^{(i)}m_b^{(j)}$  so that the scalar products of the basis vectors are

$$\ell^a \ell_a = n^a n_a = \ell^a m_a^{(i)} = n^a m_a^{(i)} = 0, \quad \ell^a n_a = 1, \quad m^{(i)a} m_a^{(j)} = \delta_{ij}. \quad (1)$$

### 2.1. Ricci rotation coefficients

We are interested in properties of the covariant derivatives of the above frame vectors. Let us define the Ricci rotation coefficients  $L_{ab}$ ,  $N_{ab}$  and  $\overset{i}{M}_{ab}$  by

$$\ell_{a;b} = L_{cd} m_a^{(c)} m_b^{(d)}, \quad n_{a;b} = N_{cd} m_a^{(c)} m_b^{(d)}, \quad m_{a;b}^{(i)} = \overset{i}{M}_{cd} m_a^{(c)} m_b^{(d)}. \quad (2)$$

First derivatives of equations (1) lead to the following  $n^2(n + 1)/2$  relations [8]

$$L_{0a} = N_{1a} = N_{0a} + L_{1a} = \overset{i}{M}_{0a} + L_{ia} = \overset{i}{M}_{1a} + N_{ia} = \overset{i}{M}_{ja} + \overset{j}{M}_{ia} = 0, \quad (3)$$

which reduce to  $n^2(n - 1)/2$  the number of independent rotation coefficients<sup>4</sup> and which will be employed throughout the paper (see section 2.2 in [8] for details; rotation coefficients in a standard orthonormal frame are discussed, e.g., in [13]).

We shall also employ covariant derivatives along the frame vectors

$$D \equiv \ell^a \nabla_a, \quad \Delta \equiv n^a \nabla_a, \quad \delta_i \equiv m^{(i)a} \nabla_a. \quad (4)$$

<sup>4</sup> In  $n = 4$  dimensions the  $L_{ab}$ ,  $N_{ab}$  and  $\overset{i}{M}_{ab}$  are equivalent to the 12 complex Newman–Penrose spin coefficients. Namely, with the notation  $\sqrt{2}\mathbf{m} = \mathbf{m}^{(2)} - i\mathbf{m}^{(3)}$  one has (different conventions in the literature may affect certain coefficient signs):  $-\sqrt{2}\kappa \equiv \sqrt{2}\ell_{a;b}m^a \ell^b = L_{20} - iL_{30}$ ,  $-2\rho \equiv 2\ell_{a;b}m^a \bar{m}^b = L_{22} + L_{33} + 2iL_{[23]}$ ,  $-2\sigma \equiv 2\ell_{a;b}m^a m^b = L_{22} - L_{33} - 2iL_{(23)}$ ,  $-\sqrt{2}\tau \equiv \sqrt{2}\ell_{a;b}m^a n^b = L_{21} - iL_{31}$ ,  $\sqrt{2}\nu \equiv \sqrt{2}n_{a;b}\bar{m}^a n^b = N_{21} + iN_{31}$ ,  $2\mu \equiv 2n_{a;b}\bar{m}^a m^b = N_{22} + N_{33} - 2iN_{[23]}$ ,  $2\lambda \equiv 2n_{a;b}\bar{m}^a \bar{m}^b = N_{22} - N_{33} + 2iN_{(23)}$ ,  $\sqrt{2}\pi \equiv \sqrt{2}n_{a;b}\bar{m}^a \ell^b = N_{20} + iN_{30}$ ,  $\varepsilon + \bar{\varepsilon} \equiv -\ell_{a;b}n^a \ell^b = -L_{10}$ ,  $\varepsilon - \bar{\varepsilon} \equiv m_{a;b}\bar{m}^a \ell^b = iM_{30}$ ,  $\gamma + \bar{\gamma} \equiv n_{a;b}\ell^a n^b = -L_{11}$ ,  $\gamma - \bar{\gamma} \equiv -\bar{m}_{a;b}m^a n^b = iM_{31}$ ,  $\sqrt{2}(\beta - \bar{\alpha}) \equiv \sqrt{2}m_{a;b}\bar{m}^a m^b = M_{33} + iM_{32}$ ,  $-\sqrt{2}(\beta + \bar{\alpha}) \equiv \sqrt{2}\ell_{a;b}n^a m^b = L_{12} - iL_{13}$ .

2.2. Transformation properties

Lorentz transformations of the basis  $\mathbf{m}^{(a)}$  can be described in terms of null rotations (with  $\ell$  or  $\mathbf{n}$  fixed), spins (i.e., spatial rotations of the vectors  $\mathbf{m}^{(i)}$ ) and boosts in the  $\ell$ - $\mathbf{n}$  plane [6]. Under null rotations with  $\ell$  fixed

$$\hat{\ell} = \ell, \quad \hat{\mathbf{n}} = \mathbf{n} + z_i \mathbf{m}^{(i)} - \frac{1}{2} z^2 \ell, \quad \hat{\mathbf{m}}^{(i)} = \mathbf{m}^{(i)} - z_i \ell, \tag{5}$$

where the  $z_i$  are real functions and  $z^2 \equiv z_i z^i$ , the rotation coefficients transform as

$$\begin{aligned} \hat{L}_{11} &= L_{11} + z_i(L_{1i} + L_{i1}) + z_i z_j L_{ij} - \frac{1}{2} z^2 L_{10} - \frac{1}{2} z^2 z_i L_{i0}, & \hat{L}_{10} &= L_{10} + z_i L_{i0}, \\ \hat{L}_{1i} &= L_{1i} - z_i L_{10} + z_j L_{ji} - z_i z_j L_{j0}, & \hat{L}_{i1} &= L_{i1} + z_j L_{ij} - \frac{1}{2} z^2 L_{i0}, \\ \hat{L}_{i0} &= L_{i0}, & \hat{L}_{ij} &= L_{ij} - z_j L_{i0}, \\ \hat{N}_{i1} &= N_{i1} + z_j N_{ij} + z_i L_{11} + z_j \overset{j}{M}_{i1} - \frac{1}{2} z^2 (N_{i0} + L_{i1}) + z_i z_j (L_{1j} + L_{j1}) \\ &\quad + z_j z_k \overset{j}{M}_{ik} - \frac{1}{2} z^2 (z_i L_{10} + z_j L_{ij} + z_j \overset{j}{M}_{i0}) + z_i z_j z_k L_{jk} \\ &\quad + \frac{1}{2} z^2 (-z_i z_j L_{j0} + \frac{1}{2} z^2 L_{i0}) + \Delta z_i + z_j \delta_j z_i - \frac{1}{2} z^2 D z_i, \end{aligned} \tag{6}$$

$$\begin{aligned} \hat{N}_{i0} &= N_{i0} + z_i L_{10} + z_j \overset{j}{M}_{i0} + z_i z_j L_{j0} - \frac{1}{2} z^2 L_{i0} + D z_i, \\ \hat{N}_{ij} &= N_{ij} + z_i L_{1j} - z_j N_{i0} + z_k \overset{k}{M}_{ij} - z_j (z_i L_{10} + z_k \overset{k}{M}_{i0}) + z_i z_k L_{kj} - \frac{1}{2} z^2 L_{ij} \\ &\quad - z_i z_j z_k L_{k0} + \frac{1}{2} z^2 z_j L_{i0} + \delta_j z_i - z_j D z_i, \\ \overset{i}{M}_{j1} &= \overset{i}{M}_{j1} + 2z_{[j} L_{i]1} + z_k \overset{i}{M}_{jk} + 2z_k z_{[j} L_{i]k} - \frac{1}{2} z^2 \overset{i}{M}_{j0} - z^2 z_{[j} L_{i]0}, \\ \overset{i}{M}_{j0} &= \overset{i}{M}_{j0} + 2z_{[j} L_{i]0}, & \overset{i}{M}_{jk} &= \overset{i}{M}_{jk} + 2z_{[j} L_{i]k} - z_k \overset{i}{M}_{j0} + 2z_k z_{[i} L_{j]0}, \end{aligned}$$

whereas null rotations with  $\mathbf{n}$  fixed are obtained by interchanging  $\ell \leftrightarrow \mathbf{n}$ ,  $L \leftrightarrow N$  and  $0 \leftrightarrow 1$ .

Under spins

$$\hat{\ell} = \ell, \quad \hat{\mathbf{n}} = \mathbf{n}, \quad \hat{\mathbf{m}}^{(i)} = X^i_j \mathbf{m}^{(j)}, \tag{7}$$

where the  $X^i_j$  are  $(n - 2) \times (n - 2)$  orthogonal matrices, one gets

$$\begin{aligned} \hat{L}_{11} &= L_{11}, & \hat{L}_{10} &= L_{10}, & \hat{L}_{1i} &= X^i_j L_{1j}, & \hat{L}_{i1} &= X^i_j L_{j1}, & \hat{L}_{i0} &= X^i_j L_{j0}, \\ \hat{L}_{ij} &= X^i_k X^j_l L_{kl}, & \hat{N}_{i1} &= X^i_j N_{j1}, & \hat{N}_{i0} &= X^i_j N_{j0}, & \hat{N}_{ij} &= X^i_k X^j_l N_{kl}, \\ \overset{i}{M}_{j1} &= X^i_k X^j_l \overset{k}{M}_{l1} + X^j_k \Delta X^i_k, & \overset{i}{M}_{j0} &= X^i_k X^j_l \overset{k}{M}_{l0} + X^j_k D X^i_k, \\ \overset{i}{M}_{jk} &= X^i_l X^j_m X^k_n \overset{l}{M}_{mn} + X^j_m X^k_n \delta_n X^i_m. \end{aligned} \tag{8}$$

Finally under boosts

$$\hat{\ell} = \lambda \ell, \quad \hat{\mathbf{n}} = \lambda^{-1} \mathbf{n}, \quad \hat{\mathbf{m}}^{(i)} = \mathbf{m}^{(i)}, \tag{9}$$

where  $\lambda$  is a real function, one finds

$$\begin{aligned} \hat{L}_{11} &= \lambda^{-1} L_{11} + \lambda^{-2} \Delta \lambda, & \hat{L}_{10} &= \lambda L_{10} + D \lambda, & \hat{L}_{1i} &= L_{1i} + \lambda^{-1} \delta_i \lambda, & \hat{L}_{i1} &= L_{i1}, \\ \hat{L}_{i0} &= \lambda^2 L_{i0}, & \hat{L}_{ij} &= \lambda L_{ij}, & \hat{N}_{i1} &= \lambda^{-2} N_{i1}, & \hat{N}_{i0} &= N_{i0}, & \hat{N}_{ij} &= \lambda^{-1} N_{ij}, \\ \overset{i}{M}_{j1} &= \lambda^{-1} \overset{i}{M}_{j1}, & \overset{i}{M}_{j0} &= \lambda \overset{i}{M}_{j0}, & \overset{i}{M}_{jk} &= \overset{i}{M}_{jk}. \end{aligned} \tag{10}$$

### 3. Ricci identities

Contractions of the Ricci identities  $v_{a;bc} - v_{a;cb} = R_{dabc}v^d$  with various combinations of the frame vectors lead to the set of first order differential equations presented below, where  $\mathcal{L}_{abc}$ ,  $\mathcal{N}_{abc}$  and  $\mathcal{M}_{abc}$  denote the Ricci identities for  $v^a = \ell^a$ ,  $n^a$  and  $m^{(i)a}$ , respectively. For  $n = 4$  these are equivalent to the standard Ricci identities arising in the Newman–Penrose formalism [1], and for  $n = 3$  to the equations of [14]. Note that some of these equations are related by interchanging  $\ell \leftrightarrow n$ ,  $L \leftrightarrow N$ ,  $D \leftrightarrow \Delta$  and  $0 \leftrightarrow 1$  and in that case they are written next to each other.

$$\begin{aligned} \mathcal{L}_{abc}n^a\ell^bn^c : DL_{11} - \Delta L_{10} &= -2L_{10}L_{11} - L_{1i}L_{i1} + N_{i0}(L_{1i} + L_{i1}) - L_{i0}N_{i1} \\ &\quad - C_{0101} + \frac{2}{n-2}R_{01} - \frac{1}{(n-1)(n-2)}R, \end{aligned} \quad (11a)$$

$$\begin{aligned} \mathcal{L}_{abc}n^a\ell^bm^{(i)c} : DL_{1i} - \delta_i L_{10} &= -L_{11}L_{i0} - L_{10}(L_{1i} + N_{i0}) + 2L_{j[i}N_{j]0} \\ &\quad - L_{1j}(L_{ji} + M_{i0}^j) - C_{010i} + \frac{1}{n-2}R_{0i}, \end{aligned} \quad (11b)$$

$$\begin{aligned} \mathcal{N}_{abc}\ell^an^bm^{(i)c} : \Delta L_{1i} - \delta_i L_{11} &= -L_{10}N_{i1} + L_{11}(L_{1i} - L_{i1}) - 2L_{j[1}N_{j|i]} \\ &\quad - L_{1j}(N_{ji} + M_{i1}^j) + C_{101i} - \frac{1}{n-2}R_{1i}, \end{aligned} \quad (11c)$$

$$\mathcal{L}_{abc}n^am^{(i)b}m^{(j)c} : \delta_{[j}L_{l|i]} = -L_{11}L_{[ij]} - L_{10}N_{[ij]} - L_{1k}M_{[ij]}^k - L_{k[j}N_{k|i]} + \frac{1}{2}C_{01ij}, \quad (11d)$$

$$\begin{aligned} \mathcal{L}_{abc}m^{(i)a}\ell^bn^c : DL_{i1} - \Delta L_{i0} &= -2L_{11}L_{i0} + L_{ij}(-L_{j1} + N_{j0}) \\ &\quad + 2L_{j[0}M_{i|1]}^j - C_{010i} + \frac{1}{n-2}R_{0i}, \end{aligned} \quad (11e)$$

$$\begin{aligned} \mathcal{N}_{abc}m^{(i)a}n^b\ell^c : \Delta N_{i0} - DN_{i1} &= 2L_{10}N_{i1} + N_{ij}(-N_{j0} + L_{j1}) \\ &\quad + 2N_{j[1}M_{i0]}^j - C_{101i} + \frac{1}{n-2}R_{1i}, \end{aligned} \quad (11f)$$

$$\begin{aligned} \mathcal{L}_{abc}m^{(i)a}\ell^bm^{(j)c} : DL_{ij} - \delta_j L_{i0} &= L_{10}L_{ij} - L_{i0}(2L_{1j} + N_{j0}) - L_{i1}L_{j0} \\ &\quad + 2L_{k[0}M_{i|j]}^k - L_{ik}(L_{kj} + M_{j0}^k) - C_{0i0j} - \frac{1}{n-2}R_{00}\delta_{ij}, \end{aligned} \quad (11g)$$

$$\begin{aligned} \mathcal{N}_{abc}m^{(i)a}n^bm^{(j)c} : \Delta N_{ij} - \delta_j N_{i1} &= -L_{11}N_{ij} - N_{i1}(-2L_{1j} + L_{j1}) - N_{i0}N_{j1} \\ &\quad + 2N_{k[1}M_{i|j]}^k - N_{ik}(N_{kj} + M_{j1}^k) - C_{1i1j} - \frac{1}{n-2}R_{11}\delta_{ij}, \end{aligned} \quad (11h)$$

$$\begin{aligned} \mathcal{L}_{abc}m^{(i)a}n^bm^{(j)c} : \Delta L_{ij} - \delta_j L_{i1} &= L_{11}L_{ij} - L_{i1}L_{j1} - L_{i0}N_{j1} + 2L_{k[1}M_{i|j]}^k \\ &\quad - L_{ik}(N_{kj} + M_{j1}^k) - C_{0i1j} - \frac{1}{n-2}(R_{ij} + R_{01}\delta_{ij}) + \frac{1}{(n-1)(n-2)}R\delta_{ij}, \end{aligned} \quad (11i)$$

$$\begin{aligned} \mathcal{N}_{abc}m^{(i)a}\ell^bm^{(j)c} : DN_{ij} - \delta_j N_{i0} &= -L_{10}N_{ij} - N_{i0}N_{j0} - N_{i1}L_{j0} + 2N_{k[0}M_{i|j]}^k \\ &\quad - N_{ik}(L_{kj} + M_{j0}^k) - C_{0j1i} - \frac{1}{n-2}(R_{ij} + R_{01}\delta_{ij}) + \frac{1}{(n-1)(n-2)}R\delta_{ij}, \end{aligned} \quad (11j)$$

$$\begin{aligned} \mathcal{L}_{abc}m^{(i)a}m^{(j)b}m^{(k)c} : \delta_{[j}L_{l|k]} &= L_{1[j}L_{l|k]} + L_{i1}L_{[jk]} + L_{i0}N_{[jk]} + L_{il}M_{[jk]}^l \\ &\quad + L_{l[j}M_{i|k]}^l - \frac{1}{2}C_{0ijk} - \frac{1}{n-2}R_{0[j}\delta_{k]i}, \end{aligned} \quad (11k)$$

$$\begin{aligned} \mathcal{N}_{abc}m^{(i)a}m^{(j)b}m^{(k)c} : \delta_{[j}N_{i]k} &= -L_{1[j}N_{i]k} + N_{i0}N_{[jk]} + N_{i1}L_{[jk]} + N_{il}M_{[jk]}^l \\ &+ N_{l[j}M_{i]k}^l - \frac{1}{2}C_{1ijk} - \frac{1}{n-2}R_{1[j}\delta_{k]i}, \end{aligned} \tag{11l}$$

$$\begin{aligned} \mathcal{M}_{abc}m^{(j)a}\ell^bn^c : DM_{j1}^i - \Delta M_{j0}^i &= 2N_{i[1}L_{j]0} + 2L_{i[1}N_{j]0} - L_{10}M_{j1}^i \\ &- L_{11}M_{j0}^i + 2M_{k[0}^iM_{j]1}^k + M_{jk}^i(-L_{k1} + N_{k0}) - C_{01ij}, \end{aligned} \tag{11m}$$

$$\begin{aligned} \mathcal{M}_{abc}m^{(j)a}\ell^bm^{(k)c} : DM_{jk}^i - \delta_k M_{j0}^i &= 2L_{j[0}N_{i]k} + 2N_{j[0}L_{i]k} - L_{k0}M_{j1}^i \\ &- M_{j0}^i(L_{1k} + N_{k0}) + 2M_{l[0}^iM_{j]k}^l - M_{jl}^i(L_{lk} + M_{k0}^l) \\ &- C_{0kij} - \frac{1}{n-2}2R_{0[i}\delta_{j]k}, \end{aligned} \tag{11n}$$

$$\begin{aligned} \mathcal{M}_{abc}m^{(j)a}n^bm^{(k)c} : \Delta M_{jk}^i - \delta_k M_{j1}^i &= 2N_{j[1}L_{i]k} + 2L_{j[1}N_{i]k} - N_{k1}M_{j0}^i \\ &+ M_{j1}^i(L_{1k} - L_{k1}) + 2M_{l[1}^iM_{j]k}^l - M_{jl}^i(N_{lk} + M_{k1}^l) \\ &- C_{1kij} - \frac{1}{n-2}2R_{l[i}\delta_{j]k}, \end{aligned} \tag{11o}$$

$$\begin{aligned} \mathcal{M}_{abc}m^{(j)a}m^{(k)b}m^{(l)c} : \delta_{[k}M_{j]l}^i &= N_{i[l}L_{j]k} + L_{i[l}N_{j]k} + L_{[kl}M_{j]1}^i + N_{[kl}M_{j0}^i \\ &+ M_{p[k}^iM_{j]l}^p + M_{jp}^iM_{kl}^p - \frac{1}{2}C_{ijkl} - \frac{1}{n-2}(\delta_{i[k}R_{l]j} - \delta_{j[k}R_{l]i}), \end{aligned} \tag{11p}$$

where  $C_{0101}, C_{010i}, \dots$  and  $R_{01}, R_{0i}$  are frame components of the Weyl and Ricci tensor, respectively (see e.g. [6, 8]).

Further contractions do not lead to new equations because of equations (1) (for example, taking the second derivative of  $\ell_a n^a = 1$  leads to  $n_{a;[bc]}\ell^a + \ell_{a;[bc]}n^a = 0$ , so that  $\mathcal{L}_{abc}n^a$  and  $\mathcal{N}_{abc}\ell^a$  are identical; other normalization conditions give similar constraints).

#### 4. Null geodetic congruences and Sachs equations

In this section we consider the physically interesting case of a geodetic vector  $\ell$ . By (2),  $\ell_{a;b}\ell^b = L_{10}\ell_a + L_{i0}m_a^{(i)}$ , so that  $\ell$  is geodetic if and only if  $L_{i0} = 0$ . In this case, the matrix  $L_{ij}$  acquires a special meaning since it is then invariant under the null rotations (5) preserving  $\ell$ , see equation (6) (and it simply rescales with boost weight one under a boost (9), cf equation (10)). It is then convenient to decompose  $L_{ij}$  into its tracefree symmetric part  $\sigma_{ij}$  (*shear*), its trace  $\theta$  (*expansion*) and its antisymmetric part  $A_{ij}$  (*twist*) as [8] (cf also [7, 9])

$$L_{ij} = \sigma_{ij} + \theta\delta_{ij} + A_{ij}, \tag{12}$$

$$\sigma_{ij} \equiv L_{(ij)} - \frac{1}{n-2}L_{kk}\delta_{ij}, \quad \theta \equiv \frac{1}{n-2}L_{kk}, \quad A_{ij} \equiv L_{[ij]}. \tag{13}$$

Along with  $\theta$ , one can construct other scalar quantities out of  $\ell_{a;b}$  (see also footnote 5) which are invariant under null and spatial rotations with fixed  $\ell$ , e.g. the shear and twist scalars given by the traces  $\sigma^2 \equiv \sigma_{ii}^2 = \sigma_{ij}\sigma_{ji}$  and  $\omega^2 \equiv -A_{ii}^2 = -A_{ij}A_{ji}$  (note that  $\sigma_{ij} = 0 \Leftrightarrow \sigma^2 = 0$  and  $A_{ij} = 0 \Leftrightarrow \omega^2 = 0$ ).

If  $\ell$  is *affinely parametrized*, i.e.  $L_{10} = 0$  (as we shall assume in the following), the optical scalars take the form

$$\sigma^2 = \ell_{(a;b)}\ell^{(a;b)} - \frac{1}{n-2}(\ell^a{}_{;a})^2, \quad \theta = \frac{1}{n-2}\ell^a{}_{;a}, \quad \omega^2 = \ell_{[a;b]}\ell^{a;b}. \quad (14)$$

It is worth observing that one can always choose  $\mathbf{n}$  and  $\mathbf{m}^{(i)}$  to be *parallelly propagated* along the geodesic null congruence  $\ell$ . Namely, one can set  $\overset{i}{M}_{j0} = 0$  and  $N_{i0} = 0$  by performing an appropriate spin transformation (7) and then a null rotation (5). This simplifies the Ricci identities considerably and may be convenient for certain calculations, but it will not be assumed in the rest of this paper.

#### 4.1. Sachs equations

Now, setting  $L_{i0} = 0 = L_{10}$  in (11g) and splitting this identity into its tracefree symmetric part, its trace and its antisymmetric part according to equation (12), one ends up with the set of  $n$ -dimensional Sachs equations

$$D\sigma_{ij} = -\left(\sigma^2_{ij} - \frac{1}{n-2}\sigma^2\delta_{ij}\right) - \left(A^2_{ij} + \frac{1}{n-2}\omega^2\delta_{ij}\right) - 2\theta\sigma_{ij} - 2\sigma_{k(i}\overset{k}{M}_{j)0} - C_{0i0j}, \quad (15a)$$

$$D\theta = -\frac{1}{n-2}\sigma^2 - \theta^2 + \frac{1}{n-2}\omega^2 - \frac{1}{n-2}R_{00}, \quad (15b)$$

$$DA_{ij} = -2\theta A_{ij} - 2\sigma_{k[i}A_{j]k} + 2A_{k[i}\overset{k}{M}_{j]0}. \quad (15c)$$

Note that for  $n = 4$  one has identically  $\sigma^2_{ij} - \frac{1}{n-2}\sigma^2\delta_{ij} = 0 = A^2_{ij} + \frac{1}{n-2}\omega^2\delta_{ij}$  and  $\sigma_{k[i}A_{j]k} = 0 = 2A_{k[i}\overset{k}{M}_{j]0}$  so that equations (15a) and (15c) simplify considerably and take the standard form [1, 2, 12]. Equation (15b) is instead essentially unchanged, and for  $n \geq 4$  has been presented previously in [9, 10].

From equations (15a) and (15c) one can also obtain the following scalar equations (which characterize the propagation of  $\ell$  independently of the other frame vectors<sup>5</sup>)

$$D(\sigma^2) = -2\sigma^3 - 2\sigma_{ij}A^2_{ji} - 4\theta\sigma^2 - 2C_{0i0j}\sigma_{ij}, \quad (16a)$$

$$D(\omega^2) = -4\theta\omega^2 + 4\sigma_{ij}A^2_{ji}. \quad (16b)$$

In the special case  $\sigma = 0 = R_{00}$  one can integrate (15b) and (16b) as in [2, 4] to obtain

$$\theta = \frac{\theta_0 + r\left(\theta_0^2 + \frac{1}{n-2}\omega_0^2\right)}{1 + 2r\theta_0 + r^2\left(\theta_0^2 + \frac{1}{n-2}\omega_0^2\right)}, \quad \omega = \frac{\omega_0}{1 + 2r\theta_0 + r^2\left(\theta_0^2 + \frac{1}{n-2}\omega_0^2\right)}, \quad (17)$$

where  $r$  is an affine parameter along  $\ell$  and  $\theta_0, \omega_0$  are the values of the scalars at  $r = 0$  (in the non-twisting case,  $\omega_0 = 0$ , this reduces to the result of [10]).

#### 4.2. Consequences of the Sachs equations

A number of simple but important facts readily follow from the above equations. First, as in  $n = 4$ , it is the Ricci component  $R_{00}$  of boost weight 2 which controls the propagation of expansion/convergence, and the Weyl components  $C_{0i0j}$  (also of boost weight 2) which control the propagation of shear. On the other hand, the curvature tensor does not enter the twist-propagation equation (15c).

<sup>5</sup> Note indeed that  $\sigma^3 \equiv \sigma_{ij}\sigma_{jk}\sigma_{ki} = g^{ad}\ell_{(a;b)}\ell_{(c;d)}\ell^{(b;c)} - 3\theta\sigma^2 - (n-2)\theta^3, \sigma_{ij}A^2_{ji} = \sigma_{ij}A_{jk}A_{ki} = g^{ad}\ell_{(a;b)}\ell_{[c;d]}\ell^{[b;c]} + \theta A^2$  and  $C_{0i0j}\sigma_{ij} = C_{abcd}\ell^a\ell^c\ell^b\ell^d$ . The higher order optical scalars  $\sigma^3$  and  $\sigma_{ij}A^2_{ji}$  entering (16a) and (16b) vanish in  $n = 4$ . However, for  $n > 4$  these and other higher order optical scalars may be in general non-zero and independent of  $\theta, \sigma$  and  $\omega$ .

From equations (15a) and (15b) one immediately finds

**Proposition 1.** *Given a geodesic null congruence with tangent vector  $\ell$  in an arbitrary  $n$ -dimensional spacetime ( $n \geq 4$ ), the following implications hold:*

- (i)  $R_{00} = 0, \theta = 0 = \sigma_{ij} \Rightarrow A_{ij} = 0, C_{0i0j} = 0.$
- (ii)  $R_{00} = 0, \theta = 0 = A_{ij} \Rightarrow \sigma_{ij} = 0, C_{0i0j} = 0.$

*That is, when  $R_{00} = 0$  if a geodesic null congruence is non-expanding and shearfree it must be also twistfree, and it is automatically a WAND; if it is non-expanding and twistfree it must be also shearfree, and again a WAND.*

These are well-known properties in  $n = 4$  [2]. For  $n > 4$ , the first conclusion in (ii) was already pointed out in [9]. Note that the assumed condition  $R_{00} = 0$  on the matter content is satisfied in a large class of spacetimes such as vacuum (with a possible cosmological constant), aligned pure radiation and aligned Maxwell fields.

It is worth observing that both the alternative assumptions in (i) and (ii) uniquely identify the Kundt class of non-expanding, twistfree and shearfree spacetimes, i.e. with  $L_{ij} = 0$  (see [15, 16] and references therein for related studies in higher dimensions). In view of (ii), we conclude that one can not ‘generalize’ the Kundt solutions by allowing for a non-zero shear (as long as  $R_{00} = 0$  and one insists on the non-expanding and twistfree conditions). This should be contrasted with the existence of ‘generalized Robinson–Trautman solutions’ with non-zero shear in  $n > 4$  (which can be readily obtained by taking the direct product of a four-dimensional Robinson–Trautman solution with a flat  $\mathbb{R}^q, q \geq 1$ ; see instead [10] for standard Robinson–Trautman  $n > 4$  solutions). Furthermore, in both above cases (i) and (ii), the fact that the tangent vector  $\ell$  is necessarily a WAND (because of  $C_{0i0j} = 0$ ) implies for  $n > 4$  that the considered spacetime is algebraically special, i.e. it can not be of type G (this was already noted in [8]). In addition, if we now substitute  $L_{i0} = 0 = L_{ij}$  in (11k) and we further assume  $R_{0i} = 0$ , we obtain  $C_{0ijk} = 0$ . Recalling the identity  $C_{010i} = C_{0jij}$  [8] we find also  $C_{010i} = 0$ , so that with proposition 1 we conclude:

**Proposition 2.** *Under the assumption  $R_{00} = 0 = R_{0i}$  on the matter fields,  $n \geq 4$  dimensional Kundt spacetimes (i.e.,  $L_{i0} = 0 = L_{ij}$ ) are of type II (or more special).*

Next, one can observe that for odd  $n$ ,  $A_{ij}^2 + \frac{1}{n-2}\omega^2\delta_{ij} = 0 \Leftrightarrow A_{ij} = 0$  (since one needs  $(-\frac{1}{n-2}\omega^2)^{n-2} = \det(A_{ij}^2) \geq 0$ ). Thus, it follows from equation (15a) that

**Proposition 3.** *In an  $n > 4$ -dimensional spacetime,  $n$  odd, for a geodesic WAND<sup>6</sup>*

$$A_{ij} \neq 0 \quad \Rightarrow \quad \sigma_{ij} \neq 0,$$

*i.e., a twisting geodesic WAND must also be shearing. Conversely, a twisting non-shearing geodesic null direction cannot be a WAND.*

The above result is a counterexample to a complete higher dimensional extension of the Goldberg–Sachs theorem, according to which algebraically special vacuum spacetimes are shearfree in  $n = 4$ . Myers–Perry black holes in  $n = 5$  provide an explicit example of

<sup>6</sup> Recall that in  $n = 4$ , by the Goldberg–Sachs theorem [1–4], a multiple principal null direction of a vacuum gravitational fields is necessarily geodesic. Similarly, for  $n > 4$  multiple WANDs are necessarily geodesic in the case of type III and type N spacetimes [8]. In [17] we shall present a partial extension of this property to type II and D vacuum spacetimes (under further assumptions on the Weyl tensor). However, we shall also point out that a complete extension is not possible, namely there do exist multiple WANDs that are non-geodesic (as opposed to the case  $n = 4$ ).

algebraically special (type D) twisting and shearing spacetimes [7, 8]. Note that the converse implication  $\sigma_{ij} \neq 0 \Rightarrow A_{ij} \neq 0$  does not hold: for example, static black strings/branes (i.e., the product ( $p$ -dimensional Schwarzschild)  $\times \mathbb{R}^q$ , with  $p \geq 4$  and  $q \geq 1$ ) are type D spacetimes with expanding, shearing but non-twisting geodesic multiple WANDs [17].

On the other hand, again using equation (15a) one has

**Proposition 4.** *In any spacetime with  $n > 4$ , given a geodesic null congruence which is not a WAND (in particular, an arbitrary geodesic null congruence in an algebraically general (type G) spacetime)*

$$\sigma_{ij} = 0 \quad \Rightarrow \quad A_{ij} \neq 0,$$

*i.e., a shearfree null geodesic is necessarily twisting unless it is a WAND.*

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