

Random resolution

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Outline

Definition

Upper bounds

Lower bounds

Fixing lemma

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Motivation

What if a resolution proof is allowed to make errors?

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Are there corresponding propositional systems?

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There are bounded arithmetic systems that can formalize reasoning with approximate counting or probabilities.

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[Jeřábek '05, Buss-Kołodziejczyk-T '14, Atserias-T '14]

(Are there CNFs separating constant depth Frege from $R(\log)$?)

Random resolution distribution

Let F be a CNF in variables x_1, \dots, x_n and let $0 < \epsilon < 1$.

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An ϵ -random resolution distribution of F is a probability distribution \mathcal{D} on pairs $(B_i, \Pi_i)_{i \sim \mathcal{D}}$ such that

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1. each B_i is a CNF in variables x_1, \dots, x_n
2. each Π_i is a resolution refutation of $B_i \wedge F$
3. for all assignments $\alpha \in \{0, 1\}^n$,

$$\Pr_{i \sim \mathcal{D}}[B_i \text{ is satisfied by } \alpha] \geq 1 - \epsilon.$$

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I will write “RR” instead of “random resolution.”

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Example 1 Random 3-CNFs

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For every assignment α , $\Pr[\alpha \models B] > 1/16$.

Thus the random pair (B, Π) is a $15/16$ -RR distribution for F .

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To improve the error ϵ , pick two clauses C, D from F at random.

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Theorem

Random 3-CNFs have $1/2$ -RR distributions of constant size.

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Proof

By the construction in Example 1 and the PCP theorem.

Example 2 Retraction WPHP

rWPHP_n is a CNF with variables for functions f and g , encoded by their bit-graphs. It asserts that

1. $f : 2n \rightarrow n$ and $g : n \rightarrow 2n$
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rWPHP_n has polynomial size, polylog width 1/2-RR distributions.

Proposition

rWPHP_n needs width n and exponential size to refute in resolution.

Another characterization - semantic resolution

For $\mathcal{A} \subseteq \{0, 1\}^n$, a *semantic resolution refutation* over \mathcal{A} can use any binary rules, as long as they are sound over assignments in \mathcal{A} .

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Lemma

The following are equivalent.

1. F has a small ϵ -RR distribution.
2. F has small (ϵ, Δ) -semantic resolution refutations for every Δ .

Definition

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Main results - width lower bounds

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CPLS_n is a family of CNF contradictions.
It has polylogarithmic initial width.

Theorem

- ▶ CPLS_n has polynomial size resolution refutations.
- ▶ CPLS_n has no $1/2$ -RR distribution of width $n^{1/20}$.

This answers the main question about the system from [BKT '14].

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Theorem

- ▶ PHP_n has no $1/2$ -RR distribution of subexponential size.

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Numbers $y < c$ represent colours.

Each node has some, maybe empty, set of colours.

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Numbers $y < c$ represent colours.

Each node has some, maybe empty, set of colours.

We have variables $G_i(x, y)$ for “colour y is present at node (i, x) ” and variables for the bit-graphs of functions $f_i : b \rightarrow b$ and $u : b \rightarrow c$.

The CNF contradiction $CPLS_{a,b,c}$

Ranges of indices are $i < a$, $x, x' < b$, $y < c$. Axioms are

$$1. \bigwedge_y \neg G_0(0, y)$$

$$2. \bigwedge_{i,y,x,x'} f_i(x) = x' \wedge G_{i+1}(x', y) \rightarrow G_i(x, y)$$

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3. Some colour $u(x)$ is present at node $(a - 1, x)$.

Width lower bound for CPLS

What tools do we have to prove lower bounds?

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We will need one more characterization of RR.

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3. $\Pr_{\rho \sim \mathcal{R}}[B \text{ is falsified by } \rho] \leq \epsilon$.

Lemma

The following are equivalent.

1. F has an ϵ -RR distribution of width w and size s .
2. F has an (ϵ, \mathcal{R}) -RR refutation of width w and size s for every distribution \mathcal{R} .

Width lower bound for CPLS

Fix parameters $a = b = n$, $c = \lfloor n^{1/7} \rfloor$ for CPLS. Let $w = \lfloor n^{1/8} \rfloor$.
Suppose that CPLS has a $1/2$ -RR distribution of width w .

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By the lemma, CPLS has a $(1/2, \mathcal{R})$ -RR refutation of width w .

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So there exist

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2. a width w resolution refutation Π of $B \wedge \text{CPLS}$.

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Definition

A *legal* restriction is one in which no f -path is coloured in a way immediately inconsistent with CPLS (plus other nice properties).

A legal restriction, if it is not too big, represents a safe move for the Adversary in the Prover-Adversary game on CPLS.

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Fixing Lemma

For any w -CNF B , for $\rho \sim \mathcal{R}$,

$$\Pr[(B \upharpoonright \rho = 0) \text{ or } (B \upharpoonright \sigma \neq 0 \text{ for every legal } \sigma \supseteq \rho)] > 1 - n^{-1/60}.$$

That is, with polynomially high probability, $B \upharpoonright \rho$ is forced to be either false or unfalsifiable, over legal restrictions $\sigma \supseteq \rho$.

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From the lemma and 1., $\Pr[\text{no legal } \sigma \supseteq \rho \text{ falsifies } B] > 1/4$.

Fix a “good” ρ with this property, where “good” means it has roughly the expected distribution of stars.

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Inductively find a path through Π from the empty clause up to an initial clause, such that for every clause C on the path, there is a legal $\sigma \supseteq \rho$ such that

- ▶ σ falsifies C
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This is a Prover-Adversary argument. With only w bits, the Prover cannot remember long paths; or the colours present on many paths; or that all colours at a node are 0.

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When C is an initial clause this gives a contradiction, because no legal σ falsifies CPLS, and no legal $\sigma \supseteq \rho$ falsifies B .

□

Definition

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Proof of the fixing lemma

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Let z be the first variable of C not fixed by ρ .

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Let σ' be the minimal $\rho \subset \sigma' \subseteq \sigma$ which fixes z .

Proof of the fixing lemma

For any w -CNF B , for $\rho \sim \mathcal{R}$,

$$\Pr[(B \upharpoonright \rho = 0) \text{ or } (B \upharpoonright \sigma \neq 0 \text{ for every legal } \sigma \supseteq \rho)] > 1 - n^{-1/60}.$$

Proof

Fix B . Let S be the set of ρ for which the lemma fails, that is,
 ρ does not falsify B but some legal $\sigma \supseteq \rho$ falsifies B .

Let C be the first clause of B falsified by some legal $\sigma \supseteq \rho$.

Let z be the first variable of C not fixed by ρ .

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Define $\theta : S \rightarrow \mathcal{R}$ by $\theta : \rho \mapsto (\sigma', \text{ position of } z \text{ in } C, \beta < 3)$
where β is extra information guaranteeing that θ is an injection.

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It follows that $\Pr[S] \leq 6wn^{1/7}$. □